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SUBOPTIMAL CONTROL OF A SYNCHRONOUS GENERATOR  
WITH TWO FIELD WINDINGS

by



MILE KOSOVAC

A THESIS

SUBMITTED TO THE FACULTY OF GRADUATE STUDIES AND  
RESEARCH IN PARTIAL FULFILLMENT OF THE REQUIREMENTS FOR  
THE DEGREE OF MASTER OF SCIENCE

DEPARTMENT OF ELECTRICAL ENGINEERING

EDMONTON, ALBERTA

SPRING 1973





THE UNIVERSITY OF ALBERTA

FACULTY OF GRADUATE STUDIES AND RESEARCH

The undersigned certify that they have read, and recommend to the Faculty of Graduate Studies and Research for acceptance, a thesis entitled Suboptimal Control of Synchronous Generator with Two Field Windings submitted by Mile Kosovac in partial fulfillment of the requirements for the degree of Master of Science.

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## ABSTRACT

The operation of synchronous generators with only a direct-axis field winding is severely limited at leading power factor. A generator with an additional winding on the quadrature axis, provided with suitable control, shows considerable advantages over the conventional generator.

This thesis is concerned in the first part with a comparison between a conventional wound-rotor and a divided-winding-rotor machine, and with the advantage gained by the use of the divided-winding rotor machine in the system. In the second part the feasibility of using a suboptimal control law based on the nonlinear differential equations of a divided-winding rotor synchronous generator is discussed. Numerical results are presented for single generator-infinite bus problems implementing such control.



#### ACKNOWLEDGEMENT

The author wishes to acknowledge his indebtedness to Dr. D. H. Kelly whose guidance throughout this project was invaluable.





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## CHAPTER 1

### INTRODUCTION

#### 1.1 Background

The annual Canadian electricity demand has increased at a rate of five percent per year. There seems little doubt that this rate of increase will continue in the future, perhaps it may even accelerate. For the post war period it was recognized that rapid economic growth, necessitates the development of large power systems and networks of large transmission capacities. As power systems grow, they become interconnected in accordance with the development plan for the economic zones in which they are located, and afterwards power systems in neighboring economic zones will be interconnected. Interconnections have many advantages. Some of the advantages are caused by diversity (daily, seasonal and annual load diversity), staggered installation of facilities, nonsimultaneous failures, and scheduled maintenance. Thus, a fault which disturbs the stability of the system affects the whole system and not only the part in which the fault occurs. Without adequate coordination, the interconnected system may suffer a catastrophic failure. Experience indicates that instability or system collapse, usually develops after a period of time as a result of automatic control operations. In a transient stability study the action of





regulators, governors, relays and other automatic equipment should be recognized. For the high reliability required of a modern power system, stability must be ensured and system control must be automatic. To meet these high quality demands the use of automatic control theories has been necessary.

## 1.2 Power System Stability

The stability of synchronous machines has been of concern to the utility industry since its early days, because the quality of every system, not only power systems, is determined by its stability. To say that a power system is stable implies that the energy produced by the generators is transmitted to the consumers by keeping voltage at the consumers terminals and the frequency in the system in certain ranges. Although this capability has allowed the treatment of the stability phenomenon as one overall problem, still it is useful to continue examining the nature of the system behavior under three classifications of stability, i.e. steady-state, transient and dynamic.

### Steady-state stability

This type of stability is characterised by the ability of a system to maintain gradually attained power transfer over the system without loss of stability. Here a dis-



inction could be made between the cases of unregulated and regulated systems. The instability of the unregulated generator is reached at the peak of the steady-state power angle curve. The regulated system increases the steady-state limit. This stability may be called an artificial stability by which is understood an operating condition of a synchronous generator, which can only be maintained with the aid of automatic control. If the automatic control fails the generator goes out of synchronism.

#### Transient stability

While steady-state stability is the ability of the system to operate stably at a given fixed loading and transmission system conditions, transient stability refers to the ability of the system to maintain stability in the presence of a sudden large change in load caused by system switching or by a fault. Generally in a power system a transient condition occurs whenever it is undergoing a quick change from one state to another, and the variables defining the state differ greatly from their values in the normal steady state (i.e. angular velocity, angular acceleration). The duration of a transient condition is short but transient stability<sup>25</sup> is of great importance for systems. The overall merit of an electrical system is almost completely determined by its transient behavior.



A system which lacks stability in the transient state has no practical value.

Dynamic stability refers to the ability of the system to ensure very rapid damping of small oscillations occurring during normal operation (because a succession of small disturbances, for example, changes of load, occur continually, so that, strictly speaking, the system never operates in a steady-state) and to make quite certain that self-oscillation, i.e., oscillation with increasing amplitude, cannot arise. In effect dynamic stability is stability in the sense of small signal control system stability.

### 1.3 Divided-Winding-Rotor Power System Stability

The normal range of stable operation of a generator under loaded conditions can be extended by the action of a voltage regulator on a direct-axis field winding. Growing high-voltage transmission networks and increasing use of high-voltage cables produce excess reactive power which cannot economically be fully compensated by parallel reactors, and at a time of low power consumption a regulator acting on a direct-axis field winding has little effect. An additional winding on the quadrature axis, provided with a suitable control can increase the stable operating region: that is, cause an





improvement of the reactive power-absorption limit at any load condition especially under conditions of light load.<sup>14</sup> Another important aspect of the additional field winding is its ability to maintain the air-gap flux at a high level, following a fault, and hence to maintain a large voltage behind transient reactance. The result of this, is high transient-power transfer and good transient-stability performance.

#### 1.4 Stability Control

Rapid automatic control of generator excitation and compensation for line inductive reactance (or in general changes in the network) are two principal methods of improving the stability limit of a power system. Both methods act to increase power transfer between machines.

The basic equation describing the dynamic (electro-mechanical) performance of a generator in a large network is

$$T_j p^2 \delta + K_d p \delta = P_t - P_{el} \quad (1.1)$$

This is called the swing equation in which  $P_t$  is the turbine power input and  $P_{el}$  is the electrical power output of the generator. When a generator is subjected to a sudden change in loading there is an imbalance between the



mechanical input and the electrical output. The prime-mover cannot act instantly as it has an appreciable time-lag. By decreasing the governor time constant and by the use of high governor gain the stability limit can be increased. Another possibility of control arises from a study of the power output of a synchronous generator.

The power in a steady-state

$$P_{el} = \frac{E_d V}{(X_d + X_e)} \sin \delta \quad (1.2)$$

or in the transient case

$$P_{el} = \frac{E_d' V}{(X_d' + X_e)} \sin \delta \quad (1.3)$$

where  $E_d$  and  $E_d'$  are the voltages behind the synchronous reactance  $X_d$  and the transient reactance  $X_d'$ , respectively. By reducing the transfer reactance between synchronous generators (the new transfer reactance is  $X_d + X_e - X_c$ ) i.e., by increasing the power transfer, either series or parallel capacitance could theoretically be used, but in practice only series capacitors have been installed, owing to the capital cost and technical difficulty of making capacitors of suitable rating to operate at line voltages of the order of 500 KV. Inserting and removing a series capacitors in a transmission line can remove the oscillatory



transient due to a fault. This may be done by controllers of an elementary type which alter the transmission properties in a nearly optimal fashion as a function of the system states. Some recent papers<sup>16,23</sup> are concerned with the application of optimal control theory in using series-capacitor switching.

Another method which also uses changes in the network is resistor braking. This device is a bank of resistors, located near a large generating plant, connected in shunt with the three-phase bus through a suitable switch that is normally open. The connection of the resistor is initiated by an increase of rotor kinetic energy over a suitable threshold value.<sup>30</sup>

The first method of rapid control of generator excitation has been comprehensively studied in the technical literature.<sup>5,6,10,29</sup> Examining equations (1.2) and (1.3) it can be seen that by controlling the voltage  $E_d$  behind the synchronous reactance, in steady state condition, or by controlling the voltage  $E_d'$  behind the transient reactance, electrical power output can be controlled. Considering not only the simple one-machine infinite bus system but also the multi-machine system it is found that by raising internal voltages the power that can be transmitted between any two machines or groups of machines can





be increased. The dependance of power on the internal voltage of the generator is shown in Fig. 1, 2, 3. Fig. 1 is the condition when the field voltage is held constant (non-controlled case).

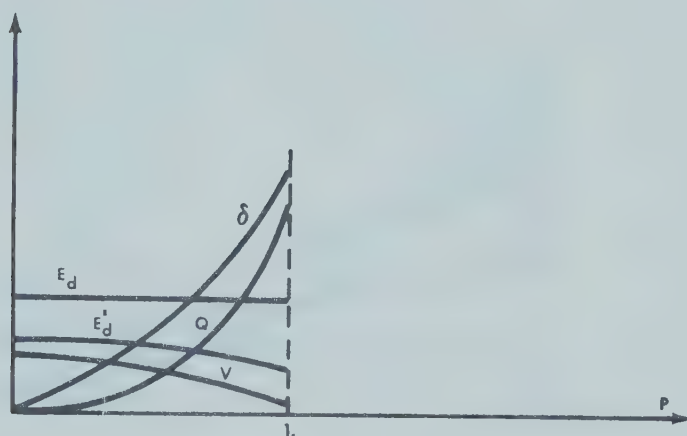


Fig. 1

For the first controlled case (Fig. 2) the transient voltage  $E'_d$  (which is proportional to field flux linkages and for some conditions to the field voltage) is held constant. The maximum power is appreciably greater.

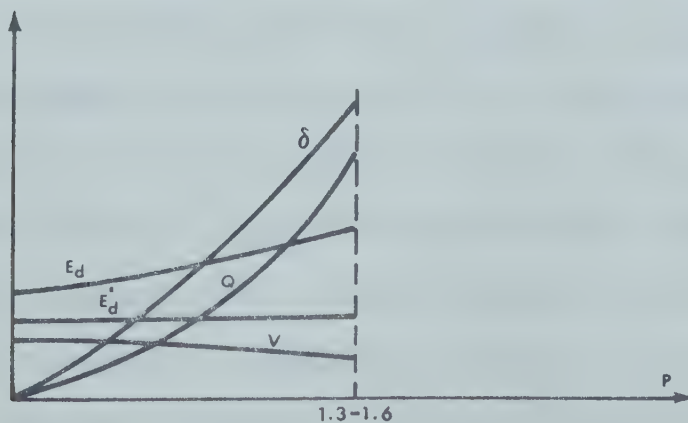


Fig. 2



Quick-response excitation, which is able to keep the terminal voltage constant for all values of load will ensure an almost ideally controlled power limit.

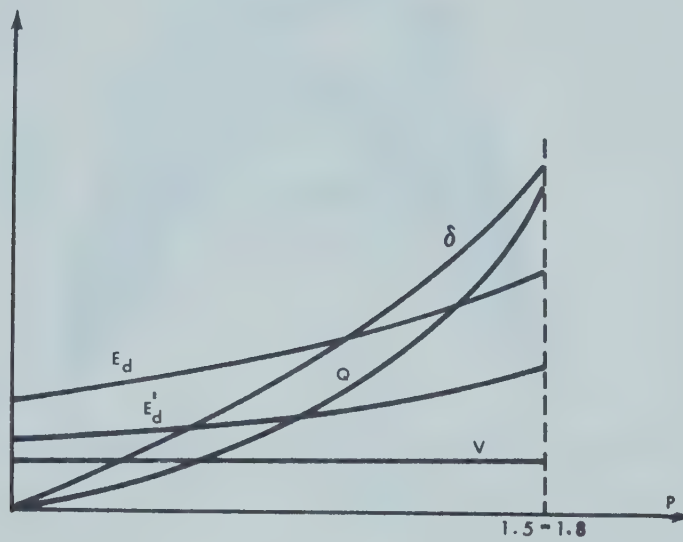


Fig. 3

By changing the internal machine voltage, power output is changed. Taking some values of transient voltages and considering them as constant for some specific region a family of power curves can be drawn as in Fig. 4.

Comparing the three operating regions of a synchronous generator from Fig. 1, 2, 3 with the following diagram, one more feature of excitation can be noted. The power limit is increased beyond  $90^\circ$ , which is a very important feature for transient stability, since the merit of transient stability is based on the first swing (if the system is stable during the first swing it is



stable afterwards).

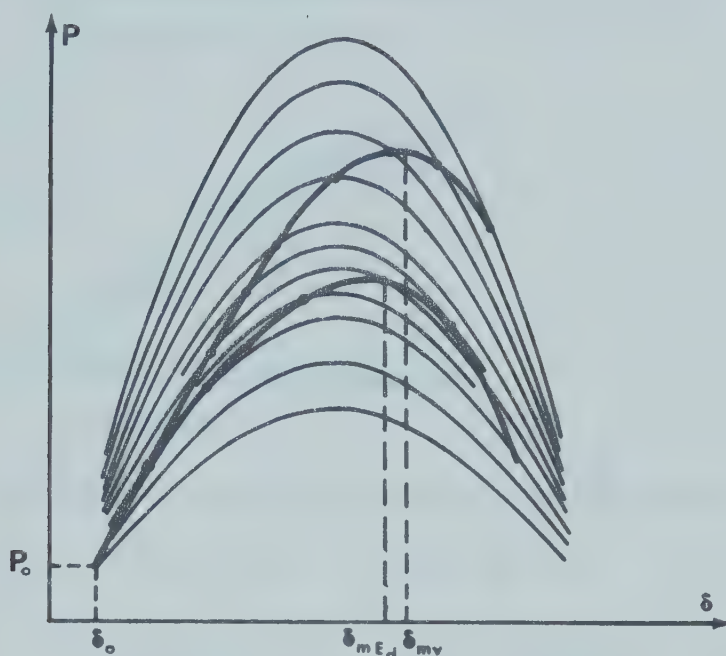


Fig. 4

As already mentioned in part 1.3 during low power consumption, the generator, because of increased charging current in the high-voltage transmission network, often has a leading power factor and may need to operate beyond the normal steady-state stability limit (which is determined by its capability for absorption of reactive power). Regulation on the normal direct-axis field winding can not extend the stability range. This failure of one field excitation is eliminated by two field excitation. The improvement in stability can be seen from Fig. 5.





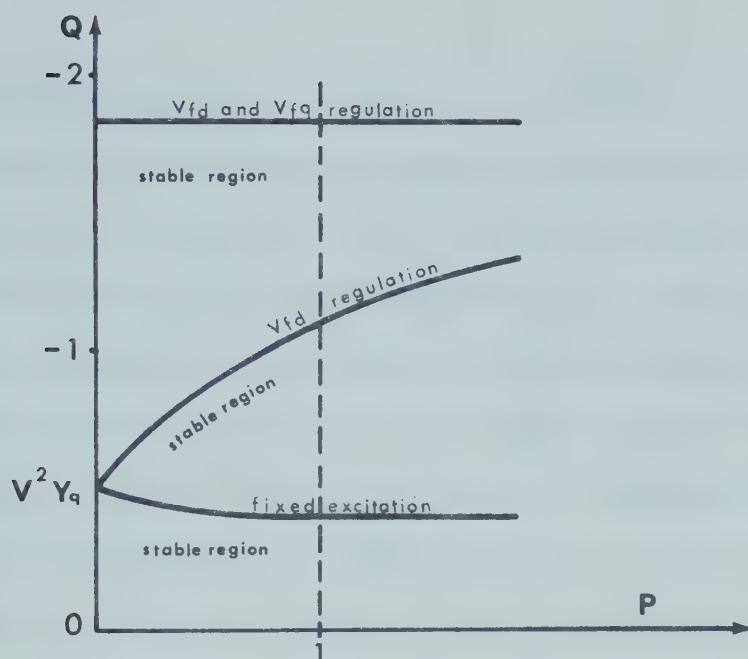


Fig. 5

Originally the main function of an automatic excitation regulator, was to maintain, during normal operation, a specified voltage across the system bus-bars and supply network. The function of excitation control has since been broadened considerably. Control of the excitation under fault condition or immediately after fault makes it possible to improve the stability of stations operating in parallel, in order to stabilize the load, prevent a sudden fall of voltage and ensure satisfactory starting of induction motors. All these lead to some specific requirements which must be satisfied by an automatic excitation regulator. The operating capacity of the transmission system should be utilised up to its limit. A good margin of transient stability and good



damping of hunting should be provided. Switching of the number of transmission lines, increases or decreases of any kind of load must not deteriorate the stability. This can be attained if the exciter has a high sensitivity, quick response and a high ceiling voltage (positive and negative ceiling have to be implemented, because at some moment a rapid fall of voltage is required). To do this, the methods of measuring the quantities, which actuate the automatic control must be simple and reliable. The time delay due to inertia in the control device and in all components of the excitation system must be small. It has been shown<sup>8,10,27,29</sup> that the stability of a synchronous machine is improved when the regulators respond not only to the variations of the controlling signals (voltage, current and angle) but also to the derivatives of these variations. Many recently published papers<sup>15,31</sup> dealing with the application of the optimal control theory to power system have proved this. Actually the use of velocity and accelerating signals and their combination specially through an optimal strategy is most effective for system stabilization.

### 1.5 Scope of Thesis

In earlier studies<sup>9</sup> of the synchronous machine with two-axis excitation it was proposed that the machine



would normally run with excitation on one field winding only, and the control on the second winding used whenever a severe disturbance occurs. After steady-state conditions have been reached, the quadrature excitation would be removed, and normal operation restored. In order to further improve the performance of a synchronous machine, not only during the transient period but in all operating conditions, continuous excitation with independent feedback system control is required for each winding, with independent feedback systems. The important feature of these two independently controlled windings is that the active power  $P$  is controlled by the quadrature-axis field which is usually called the "torque winding" and the reactive power is controlled by the direct-axis field which is usually called the "reactive winding". In studies of the d.w.r. machine many references<sup>23,25</sup> deal with a voltage regulator on the direct axis and an angle regulator on the quadrature axis. Some references<sup>10,14</sup> give a detailed study of d.w.r. machines with various regulators on the direct and quadrature axes (voltage regulator, angle regulator, proportional voltage regulator, proportional angle regulator, derivative angle regulator). The scope of this thesis is to find a time optimal control for d.w.r. machine as a function of the states. A one-





machine infinite bus system is used. The optimal strategy is formulated through a third order equation (derived from the nonlinear one-machine infinite bus model). The time optimal strategy gives bang-bang control. Proportional control as a link between theoretical and practical solutions is investigated. After that the combination of a proportional signal as a stabilizing signal and simple voltage and angle regulators is studied.



## CHAPTER 2

## MATHEMATICAL MODEL

2.1 Synchronous Generator Equations

A three-phase non salient-pole machine with amortisseur windings is considered. Iron loss is included in the amortisseur windings. Saturation is neglected. Such a machine has seven windings. On the rotor there are two field windings and two amortisseurs windings. On the stator there are three stator phase windings. The mathematical representation of the synchronous generator employs Park's<sup>28</sup> equations. The equations which give a complete description of the transient and steady-state operation of a d.w.r. synchronous generator are summarized in the following way:

Direct axis armature flux linkage

$$\psi_d = x_{afd} i_{fd} + x_{akd} i_{kd} - x_d i_d \quad (2.1)$$

Quadrature axis armature flux linkage

$$\psi_q = x_{afq} i_{fq} + x_{akq} i_{kq} - x_q i_q \quad (2.2)$$

Direct axis field flux linkage

$$\psi_{fd} = x_{ffd} i_{fd} + x_{fkd} i_{kd} - x_{afd} i_d \quad (2.3)$$



Quadrature axis field flux linkage

$$\psi_{fq} = x_{ffq} i_{fq} + x_{fkq} i_{kq} - x_{afq} i_q \quad (2.4)$$

Direct axis amortisseur flux linkage

$$\psi_{fkd} = x_{fkd} i_{fkd} + x_{kkd} i_{kd} - x_{akd} i_d \quad (2.5)$$

Quadrature axis amortisseur flux linkage

$$\psi_{fkq} = x_{fkq} i_{fq} + x_{kkq} i_{kq} - x_{akq} i_q \quad (2.6)$$

Direct axis armature voltage

$$e_d = \frac{1}{\omega_o} p\psi_d - Ri_d - \frac{\omega}{\omega_o} \psi_q \quad (2.7)$$

Quadrature axis armature voltage

$$e_q = \frac{1}{\omega_o} p\psi_q - Ri_q + \frac{\omega}{\omega_o} \psi_d \quad (2.8)$$

Direct axis field voltage

$$v_{fd} = \frac{1}{\omega_o} p\psi_{fd} + r_{fd} i_{fd} \quad (2.9)$$

Quadrature axis field voltage

$$v_{fq} = \frac{1}{\omega_o} p\psi_{fq} + r_{fq} i_{fq} \quad (2.10)$$

Direct axis amortisseur voltage equation

$$0 = \frac{1}{\omega_o} p\psi_{fkd} + r_{kd} i_{kd} \quad (2.11)$$



Quadrature axis amortisseur voltage equation

$$0 = \frac{1}{\omega_0} p\psi_{fkq} + r_{kq} i_{kq} \quad (2.12)$$

The self and mutual reactances used in the above equations are expressed as the sum of the mutual inductance and the leakage inductance.

$$x_d = x_{ad} + x_{al} \quad (2.13)$$

$$x_q = x_{aq} + x_{al} \quad (2.14)$$

$$x_{ffd} = x_{ad} + x_{fl} \quad (2.15)$$

$$x_{ffq} = x_{aq} + x_{fl} \quad (2.16)$$

$$x_{kkd} = x_{ad} + x_{kl} \quad (2.17)$$

$$x_{kkq} = x_{aq} + x_{kl} \quad (2.18)$$

#### Mechanical equation of motion

A synchronous generator is an oscillatory system. A study of this system deals with electro-mechanical processes, which are associated with the displacement of a heavy rotor and the change of stored magnetic energy. The dynamics of the synchronous generator with the speed damping term included are represented by the differential equation





$$T_j p^2 \delta + K_d p \delta = T_t - T_{el} \quad (2.19)$$

The stored energy which is characterised by the term  $T_j$  depends on the machine instantaneous rotor angular velocity (or instantaneous frequency) and for a given machine  $T_j$  may be expressed as

$$T_j = T_{j0} \frac{\omega_r}{\omega_0} \quad (2.20)$$

For this study the constant value of  $T_j$ , i.e.,  $T_{j0}$  will be used (at synchronous speed  $\omega_0$ ). Actually transient frequency excursions go up to  $\pm 5\%$  of the synchronous frequency. Neglecting this gives results which are safe, but sometimes pessimistic.

#### Electrical torque at air gap

The term  $T_{el}$  on the right hand side of equation (2.19) is the electromagnetic torque which has to match the mechanical turbine torque  $T_t$  and thus prevent torque differentials that pull the generator out of synchronism. The electromagnetic torque is expressed as:

$$T_{el} = \psi_d i_q - \psi_q i_d \quad (2.21)$$

The first component is due to the interaction between the direct-axis flux and the quadrature-axis current, and the second component is due to the interaction between the



quadrature-axis flux and the direct-axis current.

## 2.2 Single-Machine Infinite Bus System

The present study is concerned with the system of Fig. 2.1 in which a generator, connected to an infinite bus through a two circuit line is equipped with a voltage regulator on one field winding (direct axis) and an angle regulator on the other (quadrature axis).

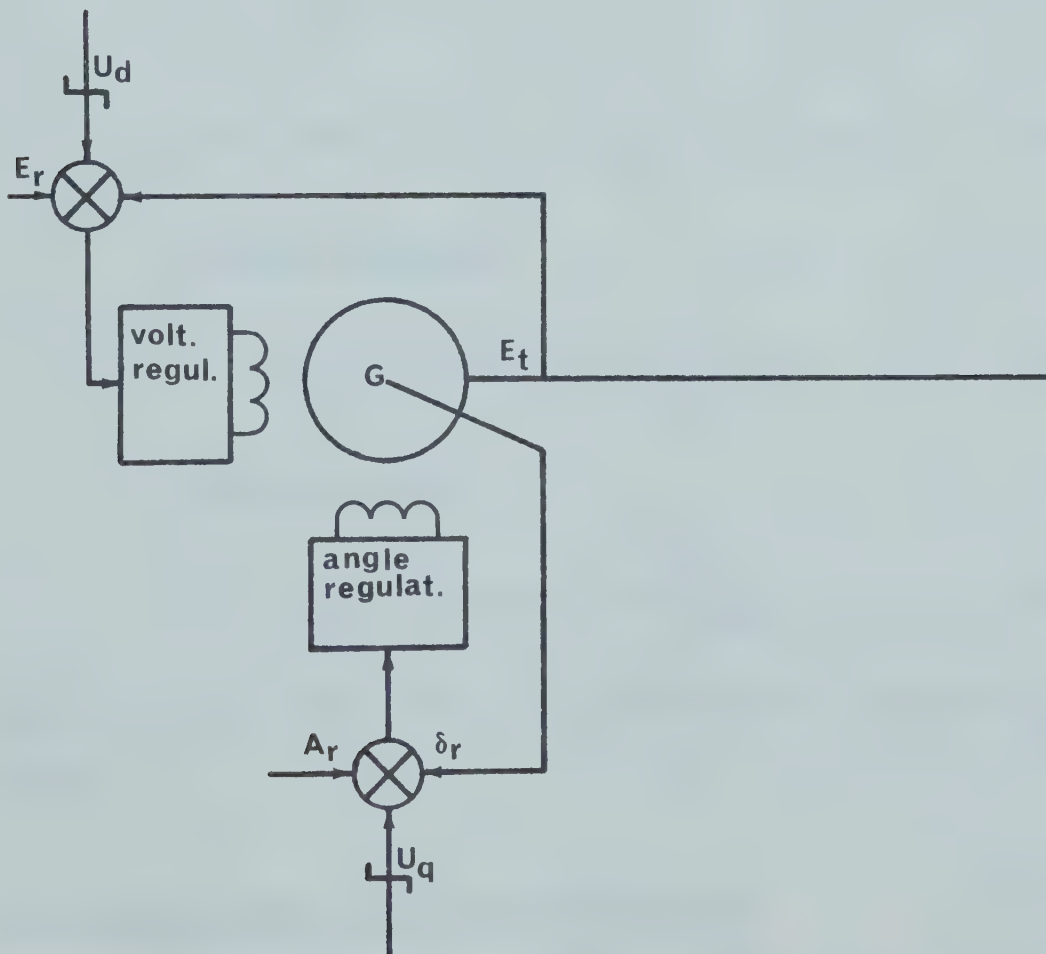


Fig. 2.1



To complete the mathematical model of this system equations of the transmission line and the regulators have to be added to the equations of the synchronous generator.

### Transmission system

The transmission system is represented by lumped series inductance and resistance in Park's reference frame. The infinite bus system is characterised by constant frequency and voltage and zero impedance.

$$e_d = v \sin \delta + R_e i_d - \frac{\omega}{\omega_0} x_e i_q + L_e p i_d \quad (2.22)$$

$$e_q = v \cos \delta + R_e i_q + \frac{\omega}{\omega_0} x_e i_d + L_e p i_q \quad (2.23)$$

### Voltage regulator

$$\frac{V_{fd}}{E_r - E_t + u(t)} = \frac{K_v}{1 + \tau_v p} \quad (2.24)$$

### Angle regulator

$$\frac{V_{fq}}{A_r + \delta + u(t)} = \frac{K_A}{1 + \tau_a p} \quad (2.25)$$

where  $u(t)$  is a bang-bang or a proportional stabilizing signal.

## 2.3 Steady-State Phasor Representation

Phasor diagrams are very useful in the theory of





electric machines. They give relations between the variables as the operating conditions change. But the distinction between the phasor diagram and stability is an important one. The phasor diagram tells nothing about stability. To get a better picture of the d.w.r. generator the conventional phasor diagram for a generator is drawn.

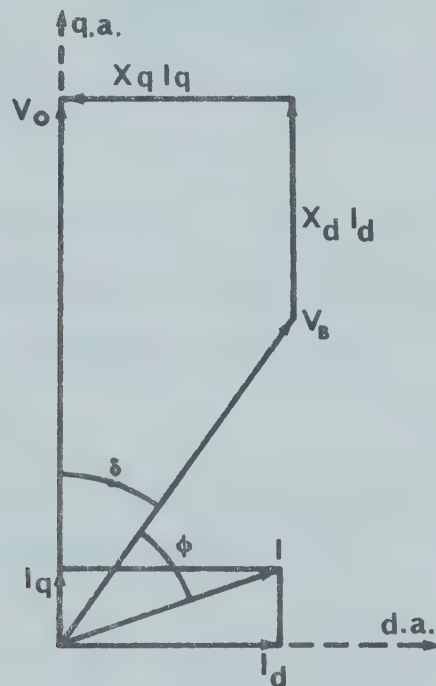


Fig. 2.2

Fig. 2.2 is a conventional phasor diagram (excitation on direct axis only) at a lagging power factor. Fig. 2.3 shows the diagram for the same operational condition when the machine has a quadrature winding control which can hold  $\delta$  at a specific value.



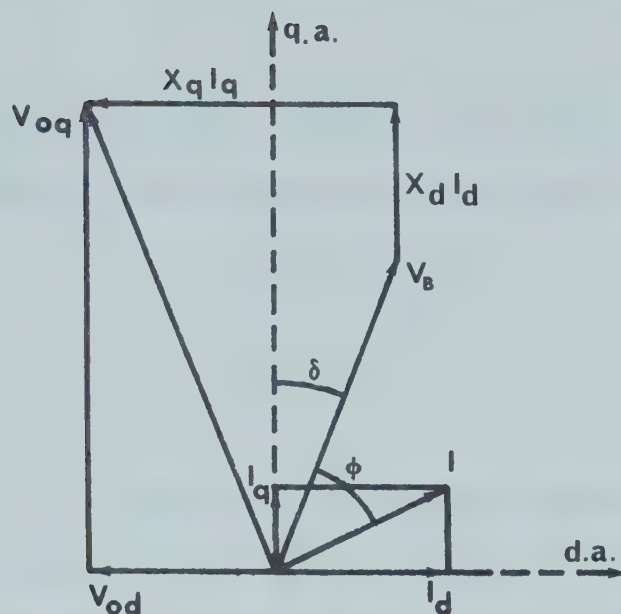


Fig. 2.3

It was mentioned in part 1.5 that one of the advantages of the d.w.r. generator is that the active power  $P$  is controlled by the quadrature axis field. It will be confirmed in the following.

From Fig. 2.3 it can be seen that  $V_O$  is composed of two components  $V_{Od}$  and  $V_{Oq}$ .

$$V_{Od} = V_B \sin \delta - X_q I_q$$

$$V_{Oq} = V_B \cos \delta + X_d I_d \quad (2.26)$$

The excitation voltage components  $V_{Od}$  and  $V_{Oq}$  (behind synchronous impedances  $X_d$  and  $X_q$  respectively) are directly proportional to the currents  $I_{fq}$ ,  $I_{fd}$  respectively.

$$V_{Od} = x_{aq} I_{fq}$$

$$V_{Oq} = x_{ad} I_{fd} \quad (2.27)$$



By resolving the stator current into two components  $I_p$  and  $I_v$  the power of the synchronous generator is

$$P_o = V_B I_p$$

$$Q_o = V_B I_v \quad (2.28)$$

where  $I_p$  is the component of stator current in the phase with bus voltage  $V_B$  and the  $I_v$  component of stator current lags the bus voltage by  $90^\circ$  (Fig. 2.4).

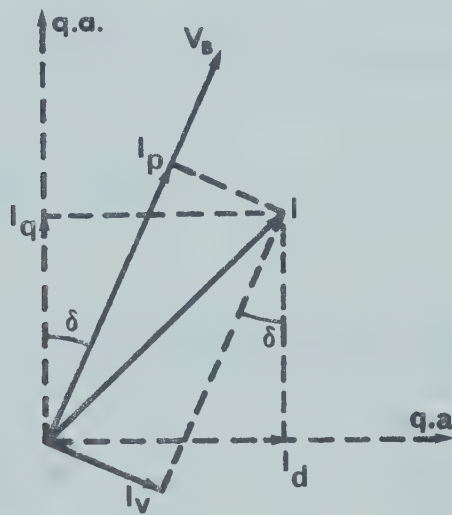


Fig. 2.4

The relation between two sets of current components  $I_p, I_v$  and  $I_d, I_q$  are:

$$I_p = I_q \cos \delta + I_d \sin \delta$$

$$I_v = I_d \cos \delta - I_q \sin \delta \quad (2.29)$$



From the equations 2.23 and 2.22 expressions for  $I_q$  and  $I_d$  are:

$$\begin{aligned} I_q &= \frac{x_{aq}}{x_q} I_{fq} + \frac{V_B}{x_q} \sin \delta \\ I_d &= \frac{x_{ad}}{x_d} I_{fd} - \frac{V_B}{x_d} \cos \delta \end{aligned} \quad (2.30)$$

Substituting 2.30 into 2.29 and then into 2.28 expressions for power of generator are:

$$\begin{aligned} P_O &= \frac{x_{aq}}{x_q} I_{fq} V_B \cos \delta + \frac{V_B^2}{x_q} \sin \delta \cos \delta + \frac{x_{ad}}{x_d} I_{fd} V_B \sin \delta \\ &\quad - \frac{V_B^2}{x_d} \cos \delta \sin \delta \\ Q_O &= \frac{x_{ad}}{x_d} I_{fd} V_B \cos \delta - \frac{V_B^2}{x_d} \cos^2 \delta - \frac{x_{aq}}{x_q} I_{fq} V_B \sin \delta \\ &\quad - \frac{V_B^2}{x_q} \sin^2 \delta \end{aligned} \quad (2.31)$$

If the angle  $\delta$  is held constant at zero value the expressions for the power of a synchronous generator are:

$$P_O = \frac{x_{aq}}{x_q} I_{fq} V_B \quad (2.32)$$

$$Q_O = \frac{x_{ad}}{x_d} I_{fd} V_B - \frac{V_B^2}{x_d} \quad (2.33)$$





The active power  $P_o$  is controlled only by the quadrature axis field winding, while the reactive power  $Q_o$  is controlled by the direct axis field current.

## 2.4 The State Space Equations of the Single-Generator

### Infinite Bus Case

Combining equations 2.1-2.12 and 2.22-2.23 gives the form

$$\begin{bmatrix} A_{11} & A_{12} & A_{13} \\ A_{21} & A_{22} & A_{23} \\ A_{31} & A_{32} & A_{33} \end{bmatrix} \begin{bmatrix} p i_{fd} \\ p i_{kd} \\ p i_d \end{bmatrix} =$$

$$= \begin{bmatrix} 0 & 0 & B_{13} & \frac{\omega}{\omega_o} B_{14} & \frac{\omega}{\omega_o} B_{15} & \frac{\omega}{\omega_o} B_{16} \\ B_{21} & 0 & 0 & 0 & 0 & 0 \\ 0 & B_{32} & 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} i_{fd} \\ i_{kd} \\ i_d \\ i_{fq} \\ i_{kq} \\ i_q \end{bmatrix} + \begin{bmatrix} v_d \\ v_{fd} \\ 0 \end{bmatrix} \quad (2.34)$$



$$\begin{bmatrix} E_{11} & E_{12} & E_{13} \\ E_{21} & E_{22} & E_{23} \\ E_{31} & E_{32} & E_{33} \end{bmatrix} \begin{bmatrix} p i_{fq} \\ p i_{kq} \\ p i_q \end{bmatrix} = \\
= \begin{bmatrix} \frac{\omega}{\omega_o} F_{41} & \frac{\omega}{\omega_o} F_{42} & \frac{\omega}{\omega_o} F_{43} & 0 & 0 & F_{46} \\ 0 & 0 & 0 & F_{54} & 0 & 0 \\ 0 & 0 & 0 & 0 & F_{65} & 0 \end{bmatrix} \begin{bmatrix} i_{fd} \\ i_{kd} \\ i_d \\ i_{fq} \\ i_{kq} \\ i_q \end{bmatrix} + \begin{bmatrix} V_q \\ V_{fq} \\ 0 \end{bmatrix} \quad (2.35)$$

The A and E coefficients in 2.34 and 2.35 are given in Appendix I.

By separating derivatives in equations 2.34 and 2.35 and defining new coefficients (C, D whose values are given in Appendix I), the differential equations of the synchronous generator-infinite bus case, in matrix form are given as:



$$\begin{bmatrix} p i_{fd} \\ p i_{kd} \\ p i_d \\ p i_{fq} \\ p i_{kq} \\ p i_q \end{bmatrix} = \begin{bmatrix} A(1,1) & A(1,2) & A(1,3) & A(1,4)(1+n) \\ A(2,1) & A(2,2) & A(2,3) & A(2,4)(1+n) \\ A(3,1) & A(3,2) & A(3,3) & A(3,4)(1+n) \\ A(4,1)(1+n) & A(4,2)(1+n) & A(4,3)(1+n) & A(4,4) \\ A(5,1)(1+n) & A(5,2)(1+n) & A(5,3)(1+n) & A(5,4) \\ A(6,1)(1+n) & A(6,2)(1+n) & A(6,3)(1+n) & A(6,4) \end{bmatrix}$$

$$\begin{bmatrix} A(1,5)(1+n) & A(1,6)(1+n) \\ A(2,5)(1+n) & A(2,6)(1+n) \\ A(3,5)(1+n) & A(3,6)(1+n) \\ A(4,5) & A(4,6) \\ A(5,5) & A(5,6) \\ A(6,5) & A(6,6) \end{bmatrix} \begin{bmatrix} i_{fd} \\ i_{kd} \\ i_d \\ i_{fq} \\ i_{kq} \\ i_q \end{bmatrix} +$$

$$\begin{bmatrix} C_{11} & C_{12} & C_{13} \\ C_{21} & C_{22} & C_{23} \\ C_{31} & C_{32} & C_{33} \\ & D_{11} & D_{12} & D_{13} \\ & D_{21} & D_{22} & D_{23} \\ & D_{31} & D_{32} & D_{33} \end{bmatrix} \begin{bmatrix} v_d \\ v_{fd} \\ 0 \\ v_q \\ v_{fq} \\ 0 \end{bmatrix} \quad (2.36)$$

where  $n = \omega_0 p \delta$  and  $A(I,J)$  coefficients are given in Appendix I. The nonlinear system 2.36 will be used throughout this study.





## 2.5 Operating Point Calculation

An operating point is determined by  $P_o$ ,  $Q_o$  and  $\delta_o$ . The values of  $V_{fd}$ ,  $V_{fq}$ ,  $i_{fd}$  and  $i_{fq}$  have to be found and can be calculated using matrix form 2.36. Putting all derivatives equal to zero and rearranging  $i_d$ ,  $i_q$  and  $V_{fd}$ ,  $V_{fq}$  the set of linear algebraic equations 2.37 is to be solved.

$$\begin{bmatrix} A(1,1) & A(1,2) & C_{12} & A(1,4) & A(1,5) & 0 \\ A(2,1) & A(2,2) & C_{22} & A(2,4) & A(2,5) & 0 \\ A(3,1) & A(3,2) & C_{32} & A(3,4) & A(3,5) & 0 \\ A(4,1) & A(4,2) & 0 & A(4,4) & A(4,5) & D_{12} \\ A(5,1) & A(5,2) & 0 & A(5,4) & A(5,5) & D_{22} \\ A(6,1) & A(6,2) & 0 & A(6,4) & A(6,5) & D_{32} \end{bmatrix} \begin{bmatrix} i_{fd} \\ i_{kd} \\ i_d \\ i_{fq} \\ i_{kq} \\ i_q \end{bmatrix} =$$

$$\begin{bmatrix} C_{11}V_d & -A(1,3)i_d & 0 & 0 & -A(1,6)i_q & 0 \\ C_{21}V_d & -A(2,3)i_d & 0 & 0 & -A(2,6)i_q & 0 \\ C_{31}V_d & -A(3,3)i_d & 0 & 0 & -A(3,6)i_q & 0 \\ 0 & -A(4,3)i_d & 0 & -D_{11}V_q & -A(4,6)i_q & 0 \\ 0 & -A(5,3)i_d & 0 & -D_{21}V_q & -A(5,6)i_q & 0 \\ 0 & -A(6,3)i_d & 0 & -D_{31}V_q & -A(6,6)i_q & 0 \end{bmatrix} \quad (2.37)$$



## CHAPTER 3

## CLOSED LOOP SUB-OPTIMAL CONTROL OF A D.W.R.

## SYNCHRONOUS GENERATOR

3.1 General

Modern optimal control theory has been increasingly applied, because a growing concern for the economic operation of complex industrial and manufacturing processes requires a new way of planning and design. Because of computer availability, optimal theory as an area of mathematics has made a significant impact on the design and operation of systems, small and large. The usefulness of optimization theory is dependent upon the ability to obtain numerical solutions. Having numerical solutions we can test the validity of conventional systems by comparison. Such a comparison must consider other factors such as the availability and cost of components for constructing the optimal control plus the cost and time required by the digital computer.

3.2 Statement of the Problem

For the fastest transient removal in the given system determine the controls  $V_{fd}$  and  $V_{fq}$  which force any given initial state  $(\zeta_1, \zeta_2, \zeta_3)$  to the stable equilibrium point in minimum time. For most of this section the time



delays of the regulators on the direct and quadrature axes are neglected. The magnitude of the field voltages are bounded and are piecewise constant function of time (bang-bang control). Their signs are determined by the time optimal scheme. Now, the new control policy can be interpreted as in Fig. 3.1

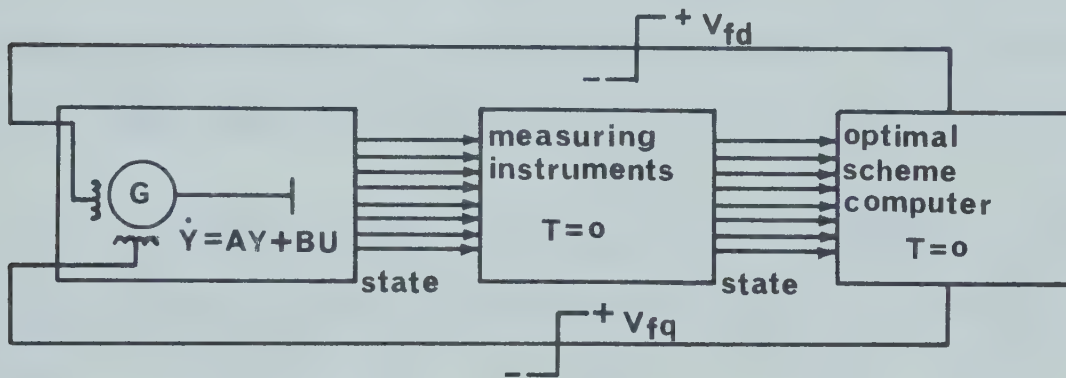


Fig. 3.1

The problem can be restated as:

Determine the control  $V_{fd}$  and  $V_{fq}$ , subject to constraints  $|V_{fd}| \leq 5$ ,  $|V_{fq}| \leq 5$ , such that any initial state of the system  $\dot{Y} = YA + BU$  is transferred to a state determined by

$$\delta(t_f) = 0$$

$$\dot{\delta}(t_f) = 0$$

$$0 \leq \delta \leq \pi/2$$

in a minimum time.

(3.1)



### 3.3 Optimal Strategy

The swing equation of synchronous generator-infinite bus case is given by

$$T_j p^2 \delta + K_d p \delta = T_t - T_{el} \quad (3.2)$$

Differentiating the above expression yields

$$T_j p^3 \delta + K_d p^2 \delta = -pT_{el} \quad (3.3)$$

The turbine torque is considered constant in this analysis. The first derivative of  $T_{el} = \psi_d i_q - \psi_q i_d$  is

$$pT_{el} = i_q p\psi_d + \psi_d pi_q - i_d p\psi_q - \psi_q pi_d \quad (3.4)$$

where all the above derivatives are defined in section 2.1.

Substituting expressions for armature fluxes and eliminating a few terms because we are dealing with a nonsalient generator (i.e.,  $x_d = x_q$ ) equation 3.4 becomes

$$\begin{aligned} pT_{el} = & x_{afd} i_q pi_{fd} + x_{akd} i_q pi_{kd} + \\ & x_{ffd} i_{fd} pi_q + x_{fk d} i_{kd} pi_q \\ & - x_{afq} i_d pi_{fq} - x_{akq} i_d pi_{kq} \\ & - x_{afq} i_{fq} pi_d - x_{akq} i_{kq} pi_d \end{aligned} \quad (3.5)$$





Further elimination of derivatives in expression 3.5 and grouping of terms is given in Appendix II. Using the results from Appendix II expression 3.3 is

$$T_j p^3 \delta + K_d p^2 \delta = -(E + V_{fd} B_N + V_{fq} B_K) \quad (3.6)$$

or

$$p^3 \delta + \frac{\omega_o K_d}{6} p^2 \delta = -\frac{\omega_o}{6} (E + V_{fd} B_N + V_{fq} B_K) \quad (3.7)$$

Further simplification gives

$$p^3 \delta + K p^2 \delta = T \quad (3.8)$$

where  $K = \frac{\omega_o K_d}{6}$  is a positive constant and  $T$  behaves as a controlling function.

Defining the variables  $Y_1(t)$ ,  $Y_2(t)$ ,  $Y_3(t)$

$$Y_1(t) = \delta$$

$$Y_2(t) = p\delta$$

$$Y_3(t) = p^2 \delta \quad (3.9)$$

The state space equations of 3.8 are

$$\begin{bmatrix} pY_1(t) \\ pY_2(t) \\ pY_3(t) \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & -K \end{bmatrix} \begin{bmatrix} Y_1(t) \\ Y_2(t) \\ Y_3(t) \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ T(t) \end{bmatrix} \quad (3.10)$$



or in a vector-matrix form

$$\dot{Y}(t) = A Y(t) + T \quad (3.11)$$

The matrix  $A$  can be transferred to its Jordan canonical form because two of three eigenvalues are the same. The transformation matrix  $P$  is given such the relation  $J(A) = P^{-1} A P$  is satisfied, i.e.

$$P = \begin{bmatrix} 1 & 0 & \frac{1}{K^2} \\ 0 & 1 & -\frac{1}{K} \\ 0 & 0 & 1 \end{bmatrix} \quad (3.12)$$

The vector  $Y(t)$  can be transformed into vector  $Z(t)$  directly by the following

$$Z(t) = P^{-1} Y(t) \quad (3.13)$$

Differentiating this equation yields

$$p Z(t) = P^{-1} p Y(t) \quad (3.14)$$

and then eliminating vector  $Y(t)$

$$p Z(t) = P^{-1} A P Z(t) + P^{-1} T(t) \quad (3.15)$$

$$\text{or} \quad p Z(t) = J(A) Z(t) + P^{-1} T(t) \quad (3.16)$$

results in the system



$$\begin{aligned}
p \dot{z}_1(t) &= z_2(t) - \frac{1}{K^2} T(t) \\
p \dot{z}_2(t) &= \frac{1}{K} T(t) \\
p \dot{z}_3(t) &= -K - z_3(t) + T(t)
\end{aligned} \tag{3.17}$$

For simplicity, new state variables  $x_1(t)$ ,  $x_2(t)$ ,  $x_3(t)$  can be defined by setting

$$\begin{aligned}
x_1(t) &= K^3 z_1(t) \\
x_2(t) &= K^2 z_2(t) \\
x_3(t) &= K z_3(t)
\end{aligned} \tag{3.18}$$

After this linear transformation the system of differential equations in the variable  $x$  are

$$\begin{aligned}
p \dot{x}_1(t) &= K x_2(t) - K T(t) \\
p \dot{x}_2(t) &= K T(t) \\
p \dot{x}_3(t) &= -K x_3(t) + K T(t)
\end{aligned} \tag{3.19}$$

Now, having system 3.19 our problem can be restated. For a given system 3.19 find the admissible control that forces the system of Fig. 3.1 from any initial state  $(\zeta_1, \zeta_2, \zeta_3)$  to the stable operating point in minimum time. The general expression for the Hamiltonian  $H$  for the minimum-time control of the system 3.19 is





$$H = 1 + \sum_{i=1}^3 f_i[x(t), t] \lambda_i(t) + \sum_{j=1}^3 T_j(t) \{ \sum_{i=1}^3 b_{ij} [x(t), t] \lambda_i(t) \} \quad (3.20)$$

Equation 3.20 applied to system 3.19 gives

$$H = 1 + K x_2 \lambda_1 - K x_3 \lambda_3 + K T(t) (-\lambda_1(t) + \lambda_2(t) + \lambda_3(t)) \quad (3.21)$$

The H minimal control, i.e., the control which minimizes the Hamiltonian, is given by

$$\text{sgn} T(t) = -\text{sgn}\{-\lambda_1(t) + \lambda_2(t) + \lambda_3(t)\} \quad (3.22)$$

where the control variable is constrained by the inequality

$$T_{\min} \leq T(t) \leq T_{\max}$$

The costate variables  $\lambda_1(t)$ ,  $\lambda_2(t)$  and  $\lambda_3(t)$  satisfy the equations

$$\begin{aligned} p\lambda_1(t) &= -\frac{\partial H}{\partial x_1} = 0 \\ p\lambda_2(t) &= -\frac{\partial H}{\partial x_2} = -K_1(t) \lambda_1(t) \\ p\lambda_3(t) &= -\frac{\partial H}{\partial x_3} = K_3(t) \lambda_3(t) \end{aligned} \quad (3.23)$$

Let  $\pi_1$ ,  $\pi_2$  and  $\pi_3$  be the initial values of the costate functions, then the solutions of the system 3.23 are



$$\lambda_1(t) = \pi_1$$

$$\lambda_2(t) = \pi_1 - K \pi_2 t$$

$$\lambda_3(t) = \pi_3 e^{Kt}$$

The function

$$f(\lambda) = -\lambda_1(t) + \lambda_2(t) + \lambda_3(t) = -\pi_1 + \pi_2 - K\pi_1 t + \pi_3 e^{-Kt}$$

is a linear combination of constants, linear function and one exponential function. This kind of function can have at most two zeros as shown in Fig. 3.2.

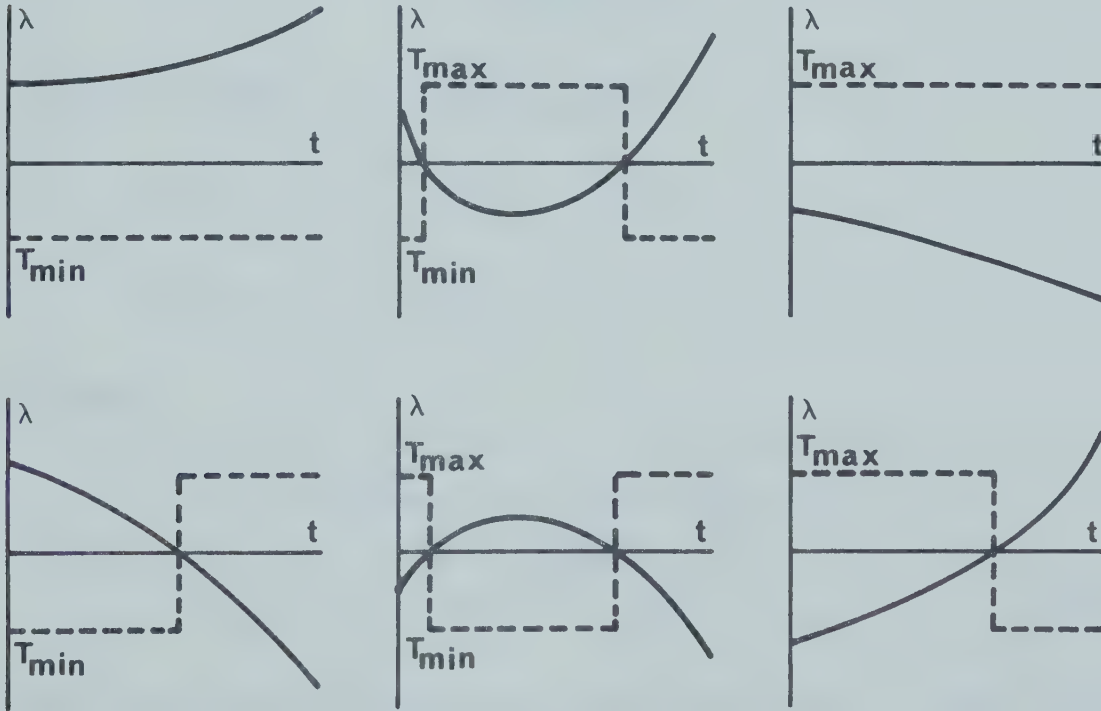


Fig. 3.2

Since, over a finite interval of time, the time optimal control is constant,  $T = T_{\max}$  or  $T = T_{\min}$ , the equa-



tion 3.19 can be solved using  $T(t) = \text{const}$ , with the initial conditions  $x_1(0) = \zeta_1$ ,  $x_2(0) = \zeta_2$ ,  $x_3(0) = \zeta_3$

$$\begin{aligned}x_1(t) &= \zeta_1 + \zeta_2 K t + \frac{1}{2} T K^2 t^2 - T K t \\x_2(t) &= \zeta_2 + T K t \\x_3(t) &= (\zeta_3 - T)e^{-Kt} + T\end{aligned}\tag{3.25}$$

Eliminating the time  $t$  from the second equation of the set 3.25

$$t = \frac{x_2(t) - \zeta_2}{T K}$$

and then substituting in equations

$$\begin{aligned}x_1(t) &= \zeta_1 + \zeta_2 K t + \frac{1}{2} T K^2 t^2 - T K t \\x_3(t) &= (\zeta_3 - T)e^{-Kt} + T\end{aligned}$$

we have

$$\begin{aligned}x_1 &= \frac{1}{2} \frac{x_2^2}{T} - x_2 + \zeta_1 - \frac{1}{2} \frac{\zeta_2^2}{T} + \zeta_2 \\x_3 &= (\zeta_3 - T)e^{-\frac{x_2 - \zeta_2}{T}} + T\end{aligned}\tag{3.26}$$

These two equations determine the trajectory in the three-dimensional state space (with the initial state  $\zeta_1, \zeta_2, \zeta_3$ ).

The equation

$$x_1 = \frac{1}{2} \frac{x_2^2}{T} - x_2 + \zeta_1 - \frac{1}{2} \frac{\zeta_2^2}{T} + \zeta_3$$



is the equation of the trajectories which are parabolic. The family of these curves is shown in Fig. 3.3

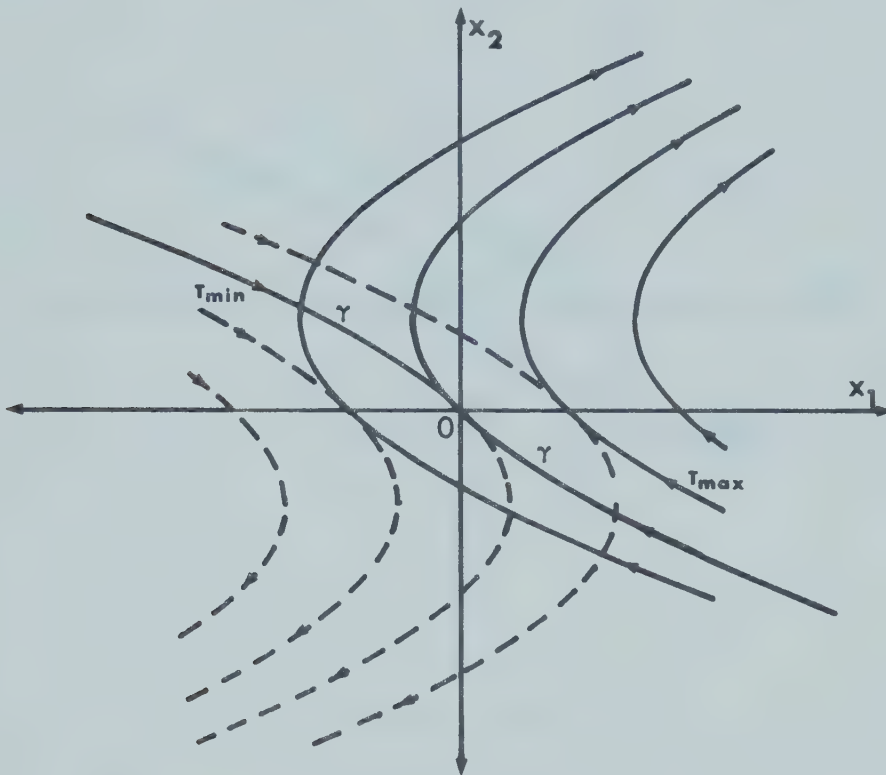


Fig. 3.3

For some cases our objective is to drive any initial state to the origin of the state plane. The parts of the above two curves combined into the  $\gamma$  curve are the locuses of all points  $(x_1, x_2)$  which can be forced to the origin or by the control  $T_{\max}$  or by the control  $T_{\min}$ . Let us now consider an initial state  $(\zeta_1, \zeta_2)$  which does not belong to the  $\gamma$  curve (Fig. 3.4).





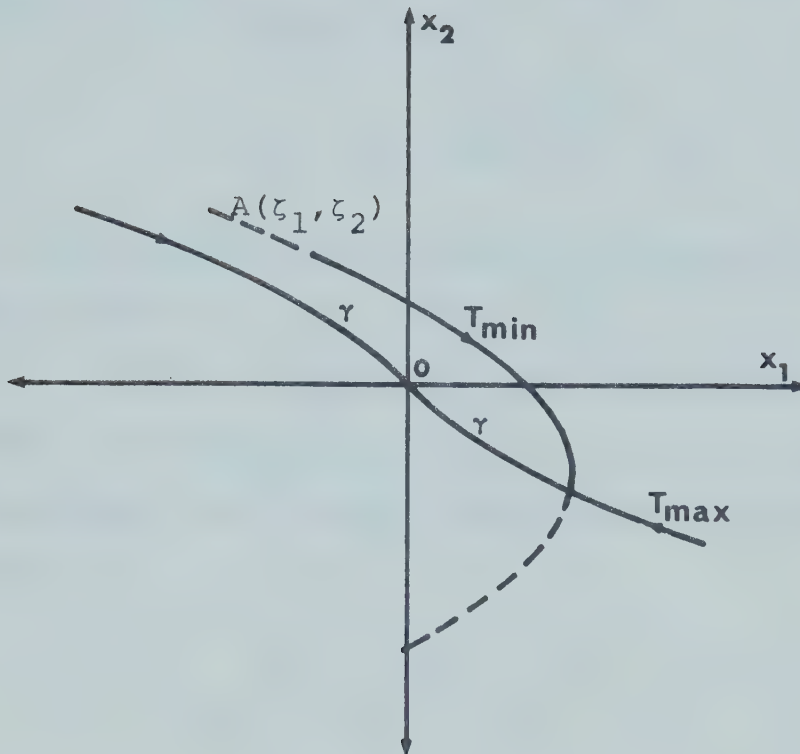


Fig. 3.4

In this case a control  $T_{\min}$  must be applied to bring the system to the  $\gamma$  switch curve (use of the other control  $T_{\max}$  will never reach the  $\gamma$  curve). At that point the transition occurs from the control  $T_{\min}$  to the control  $T_{\max}$  and the system reaches the origin along the  $\gamma$  switch curve (with the control  $T_{\max}$ ).

The  $\gamma$  curve is given by the equation

$$x_1 = \frac{1}{2T} x_2^2 - x_2 \quad (3.27)$$



where  $T = T_{\max}$  if  $x_2 > 0$  and  $T = T_{\min}$  if  $x_2 < 0$ . The second equation of the system (3.26)

$$x_3 = (\zeta_3 - T)e^{\frac{-x_2 - \zeta_2}{T}} + T \quad (3.28)$$

gives the trajectories in the  $x_2, x_3$  plane with various initial states  $(\zeta_2, \zeta_3)$ . Fig. 3.5.

Let us consider the two trajectories which pass through the origin in the  $x_2, x_3$  plane. By separating the variables and the initial coordinates in 3.28 the result yields

$$x_3 e^{\frac{x_2}{T}} - T e^{\frac{x_2}{T}} = \zeta_3 e^{\frac{\zeta_2}{T}} - T e^{\frac{\zeta_2}{T}} \quad (3.29)$$

The two curves passing through the origin of the  $x_2, x_3$  plane have to have initial conditions such that the right hand side of equation 3.29 is equal to  $-T$ , i.e.

$$x_3 e^{\frac{x_2}{T}} - T e^{\frac{x_2}{T}} = -T \quad (3.30)$$

or

$$x_3 = T - T e^{\frac{-x_2}{T}} \quad (3.31)$$

where  $T = T_{\min}$  if  $x_2 > 0$  and  $T = T_{\max}$  if  $x_2 < 0$  (Fig. 3.5)

For the disturbances when the rotor angle has a maximum excursion less than  $\frac{\pi}{2\omega_0}$  the control scheme can be decided from the  $x_2, x_3$  plane. By reaching the origin



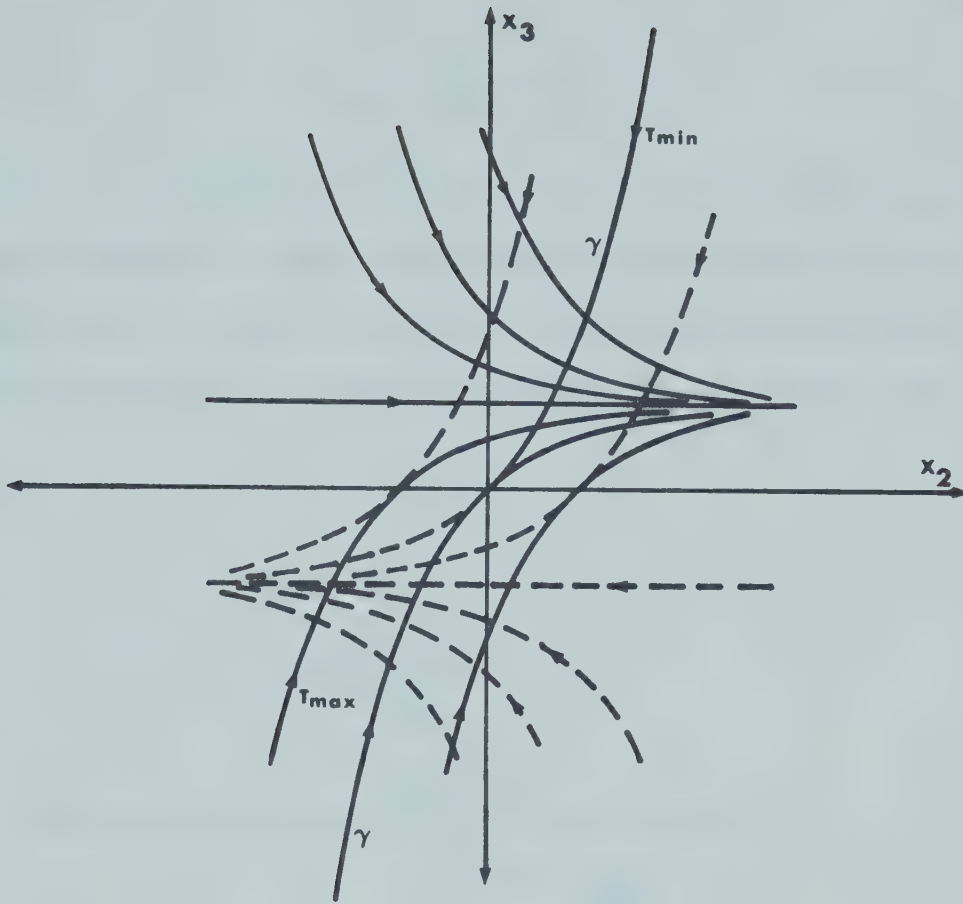


Fig. 3.5

of the  $x_2, x_3$  plane (i.e.  $x_2 = 0, x_3 = 0$ ) two of three conditions for equilibrium are satisfied, because  $x_2 = 0$  and  $x_3 = 0$  implies  $p\delta = 0$  and  $p^2\delta = 0$ . The third one ( $0 \leq \delta \leq \frac{\pi}{2\omega_0}$ ) is already satisfied. For cases in which the rotor angle is larger than  $\frac{\pi}{2\omega_0}$  the control scheme has to be decided from the combination of the  $x_1, x_2$  and  $x_2, x_3$  planes, i.e., from the switching function in space  $x_1, x_2, x_3$ . The projection of the switch curve  $\gamma$  in the  $x_1, x_2$  plane is given by 3.26.



$$x_{11} = \frac{1}{2T} x_{21}^2 - x_{21} \quad (3.32)$$

Let us now consider an initial state  $(x_1, x_2, x_3)$  which belongs to the trajectory in the space which intersects the  $\gamma$  curve, and such that a system staying on that trajectory can be forced to reach the  $\gamma$  curve Fig. 3.6.

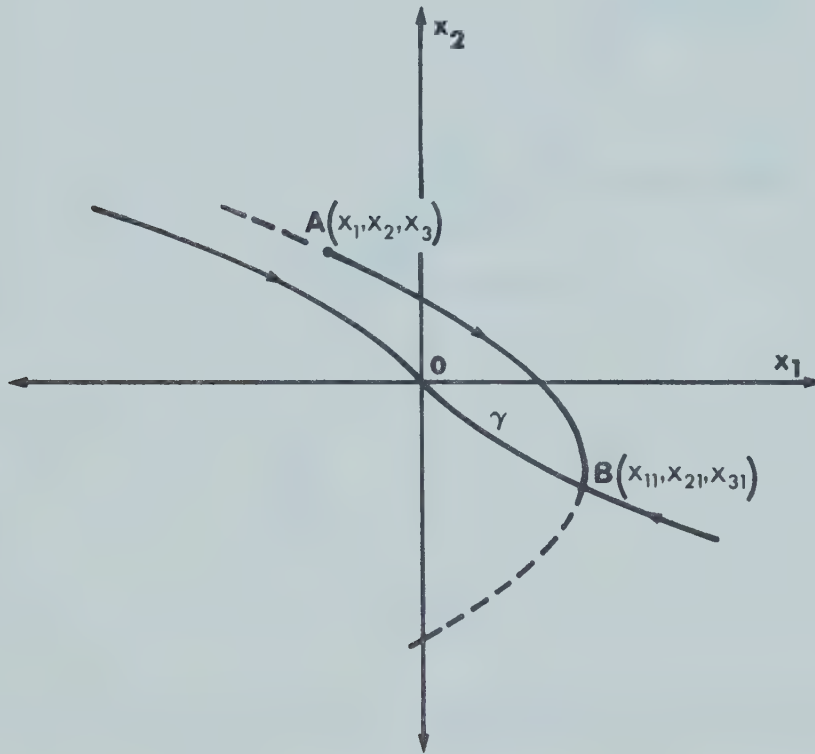


Fig. 3.6

The equation of the path AB is

$$x_{12} = -\frac{1}{T} x_{22}^2 - x_{22} + x_1 + \frac{1}{2T} x_2^2 + x_2 \quad (3.33)$$

An analysis similar to that used before, in the  $x_2, x_3$  plane with the equation of  $\gamma$  curve





$$x_{31} = T - T e^{\frac{-x_{21}}{T}}$$

leads to an equation of the path CD (Fig. 3.7)

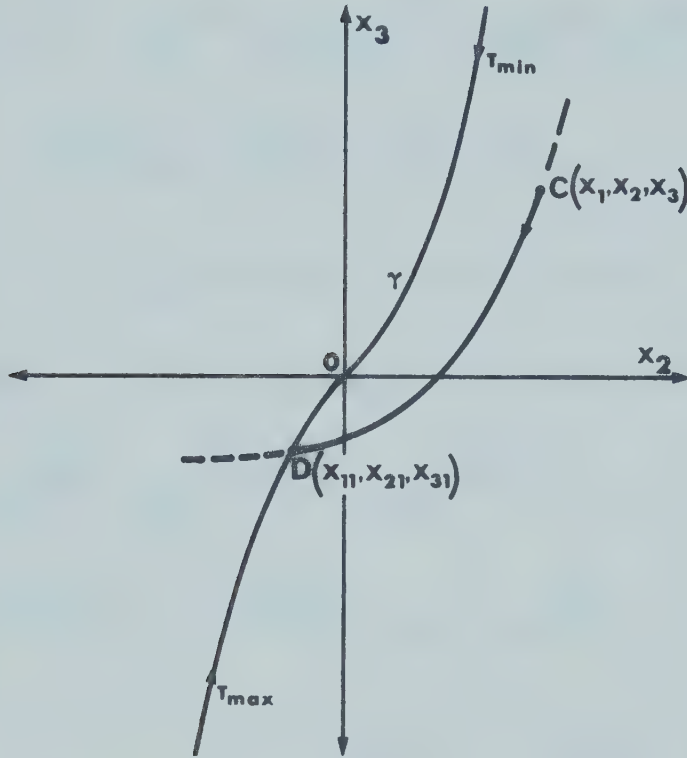


Fig. 3.7

$$x_{32} = (x_3 + T) e^{\frac{1}{T}(x_{22} - x_2)} - T$$

Now, we have four equations and two unknowns

$$x_{12} = -\frac{1}{2T} x_{22}^2 - x_{22} + x_1 - \frac{1}{2T} x_2^2 + x_2 \quad (3.34)$$

$$x_{32} = (x_3 + T) e^{\frac{1}{T}(x_{22} - x_2)} - T \quad (3.35)$$

$$x_{12} = \frac{1}{2T} x_{22}^2 - x_{22} \quad (3.36)$$

$$x_{32} = T - T e^{-\frac{x_{22}}{T}} \quad (3.37)$$



Eliminate  $x_{12}$ ,  $x_{22}$ ,  $x_{32}$  from the set of equations 3.34-3.37 by first finding  $x_{22}$  from equations 3.34 and 3.37

$$\begin{aligned}\frac{1}{2T} x_{22}^2 - x_{22} &= -\frac{1}{2T} x_{22}^2 - x_{22} + x_1 \frac{1}{2T} x_2^2 + x_2 \\ \frac{1}{T} x_{22}^2 &= \frac{1}{2T} x_2^2 + x_1 + x_2 \\ x_{22} &= \pm \left( \frac{1}{2} x_2^2 + T(x_1 + x_2) \right)^{\frac{1}{2}}\end{aligned}\quad (3.38)$$

Eliminate  $x_{32}$  from equations 3.35 and 3.37

$$\begin{aligned}T - T e^{-\frac{x_{22}}{T}} &= x_3 e^{\frac{x_{22}-x_2}{T}} + T e^{\frac{x_{22}-x_2}{T}} - T \\ x_3 e^{\frac{x_{22}-x_2}{T}} &= -T e^{\frac{x_{22}-x_2}{T}} + 2T - T e^{-\frac{x_{22}}{T}}\end{aligned}\quad (3.39)$$

or

$$x_3 = -T + 2T e^{-\frac{x_{22}-x_2}{T}} - T e^{-\frac{x_{22}}{T}} e^{\frac{x_{22}}{T}} \quad (3.40)$$

Substituting equation 3.37 into equation 3.39 gives

$$\begin{aligned}x_3 &= -T + T e^{-\frac{1}{T} \{ \pm [\frac{1}{2} x_2^2 + T(x_1+x_2)]^{\frac{1}{2}} - x_2 \}} \\ &\quad \left( 2 - e^{-\frac{1}{T} \{ \pm [\frac{1}{2} x_2^2 + T(x_1+x_2)]^{\frac{1}{2}} \}} \right)\end{aligned}\quad (3.41)$$

In equation 3.41 there is an ambiguity of sign.

Going back to the equations 3.36 and 3.37, i.e., to the  $\gamma$  curves in the  $x_1, x_2$ ;  $x_2, x_3$  planes respectively the con-



control  $T$  ( $T_{\min}$  or  $T_{\max}$ ) is determined as

$$\operatorname{sgn} T = -\operatorname{sgn}\{x_{22}\} \quad (3.42)$$

$$\text{or} \quad x_{22} = -\operatorname{sgn} T \left[ \frac{x_2^2}{2} + T(x_1 + x_2) \right]^{\frac{1}{2}} \quad (3.43)$$

So the final expression for the switching surface is

$$x_3 = -T + T e^{\left\{ \left| \frac{1}{T} \right| \left[ \frac{1}{2} x_2^2 + T(x_1 + x_2) \right]^{\frac{1}{2}} + \frac{1}{T} x_2 \right\}} \\ \left( 2 - e^{\left| \frac{1}{T} \right| \left[ \frac{1}{2} x_2^2 + T(x_1 + x_2) \right]^{\frac{1}{2}}} \right) \quad (3.44)$$

where  $|T|$  is the absolute value of  $T$ , or

$$\Sigma = x_3 + T - T \exp \left( \left| \frac{1}{T} \right| \left[ \frac{1}{2} x_2^2 + T(x_1 + x_2) \right]^{\frac{1}{2}} + \frac{1}{T} x_2 \right) \\ \left( 2 - \exp \left\{ \left| \frac{1}{T} \right| \left[ \frac{1}{2} x_2^2 + T(x_1 + x_2) \right]^{\frac{1}{2}} \right\} \right) \quad (3.45)$$

The control  $T = T_{\min}$  or  $T = T_{\max}$  has to be decided such that the trajectory generated by one of them intersects the switching surface (Fig. 3.8). For a state above the switching surface the control is  $T = T_{\max}$ , and for a state below the switching surface the control is  $T = T_{\min}$ .

In the control scheme we first have to find the value of the angle  $\delta$ . If the angle is out of the range  $\pm \frac{\pi}{2\omega_0}$  the switching surface has to be used to bring the angle  $\delta$  into the range  $\pm \frac{\pi}{2\omega_0}$ . After that the control



scheme from the plane  $x_2, x_3$  is decided.

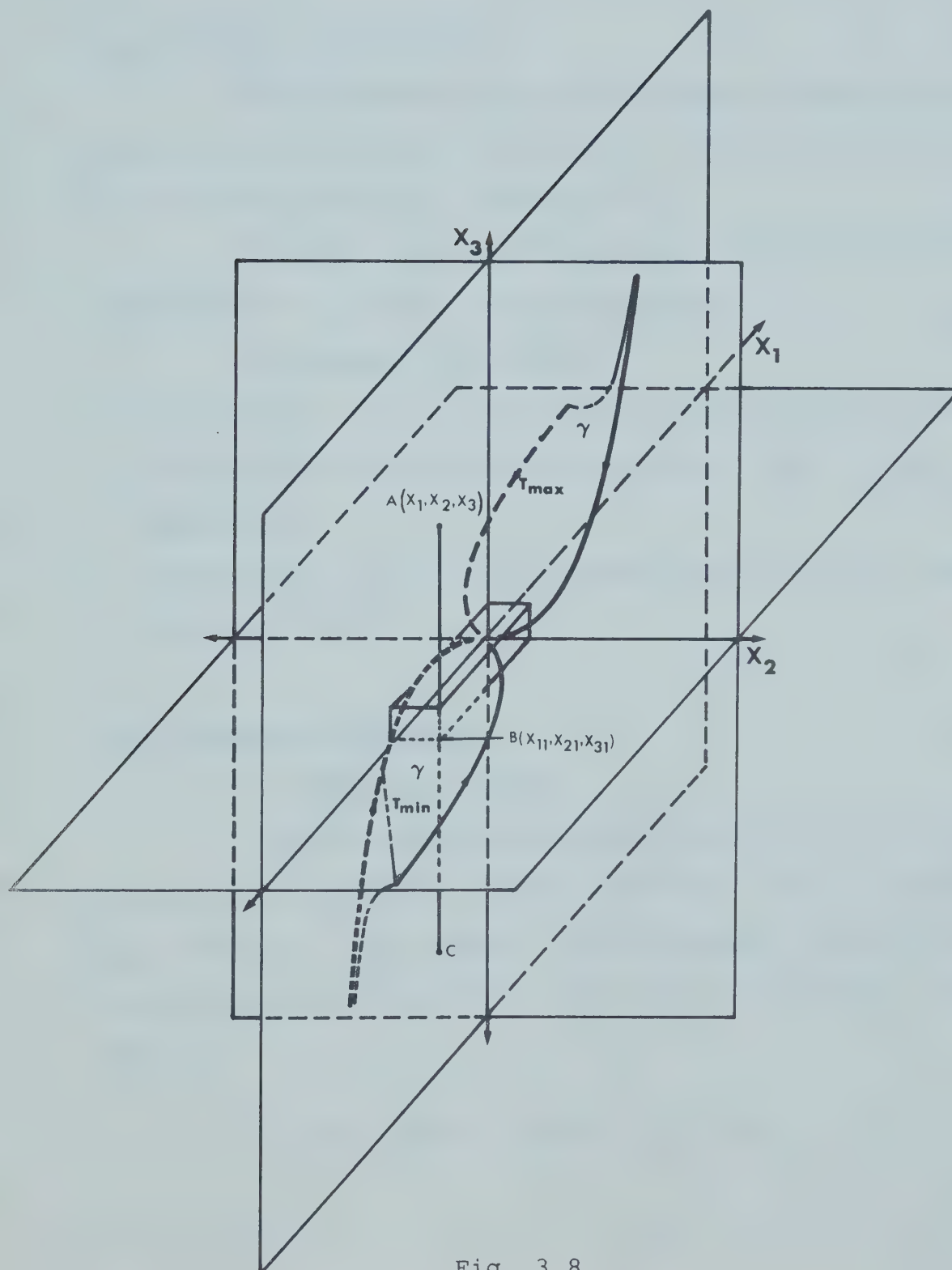


Fig. 3.8





## CHAPTER 4

4.1 Method of Simulation

The equations were solved using a Runge-Kutta-Gill 4th order integration routine with a step size of 0.001 sec. on an IBM-360 model 67 (Appendix III).

Several forms of disturbance were assumed for computation of transient characteristics.

Disturbance considered:

- a) Torque steps of 10, 30 and 50% of the system load for a single machine infinite bus system. Fig. 2.4 and Appendix I.
- b) Torque pulse of 100% for the same system and the same operating points.

4.2 Excitation Controls1. Bang-Bang Control

From the optimal trajectories and the switching function note that the control function  $T$  has two values,  $T_{\max}$  and  $T_{\min}$ . The optimal scheme requires that the value of  $T$  changes instantaneously from one to the other.  $T$  is given as

$$T = -\frac{\omega_0}{6}[E + V_{fd} BN + V_{fq} BK]$$



To change the value of  $T$  to either  $T_{\min}$  or to the  $T_{\max}$  the actual controls have to change from  $V_{fd \min}$ ,  $V_{fq \min}$  to  $V_{fd \max}$ ,  $V_{fq \max}$ .

The field voltages are constrained in magnitude. Usually this constraint is  $\pm 5$  units.

The angle time characteristics (Fig. 4.1) show responses of controlled and uncontrolled machine for a 10% torque step. For the uncontrolled case the system stabilizes but is poorly damped. For the machine (Fig. 4.1-b) with bang-bang control, the time required to reach a stable point is short and the response is heavily damped. The phase plane plot (Fig. 4.2) shows the nature of the forced and free damped oscillatory systems. For the forced system (Fig. 4.2) the rotor velocity is brought near a stable equilibrium point in a fairly short period of time but exhibits forced oscillations characterised by slow variations of speed due to the large rotor inertia.

The responses for more severe disturbances of 30% and 50% torque steps are shown in Fig. 4.3 and Fig. 4.4. As can be expected the final angle becomes bigger and the time required to reach a stable point is increased. Angle time characteristics from Fig. 4.3 and Fig. 4.4 are slightly oscillatory particularly in the initial period. To analyse this we have to go back to the switching trajectory. Starting from any initial state we want the forced trajec-



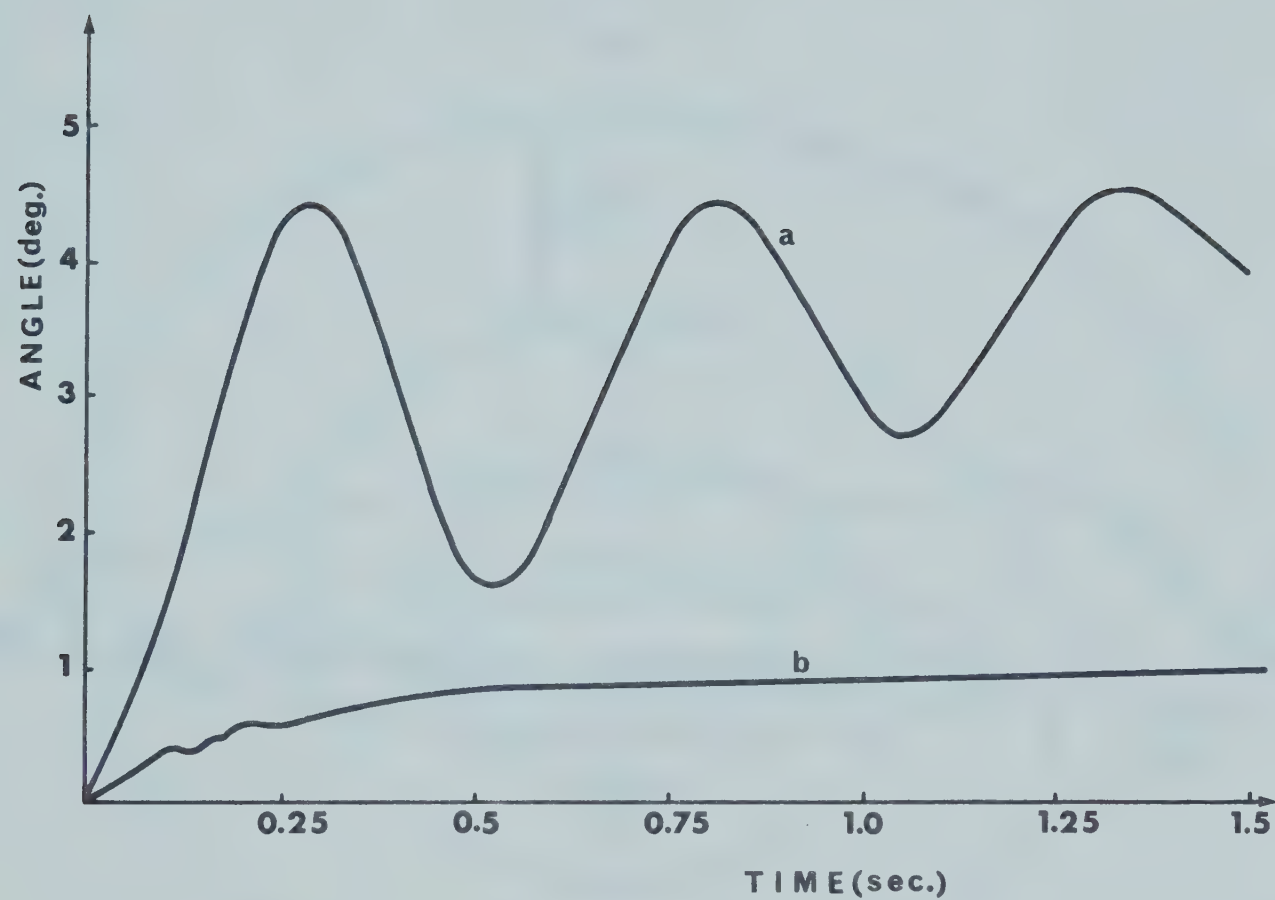


Fig. 4.1

a) unregulated machine

b) regulated machine (bang-bang control)



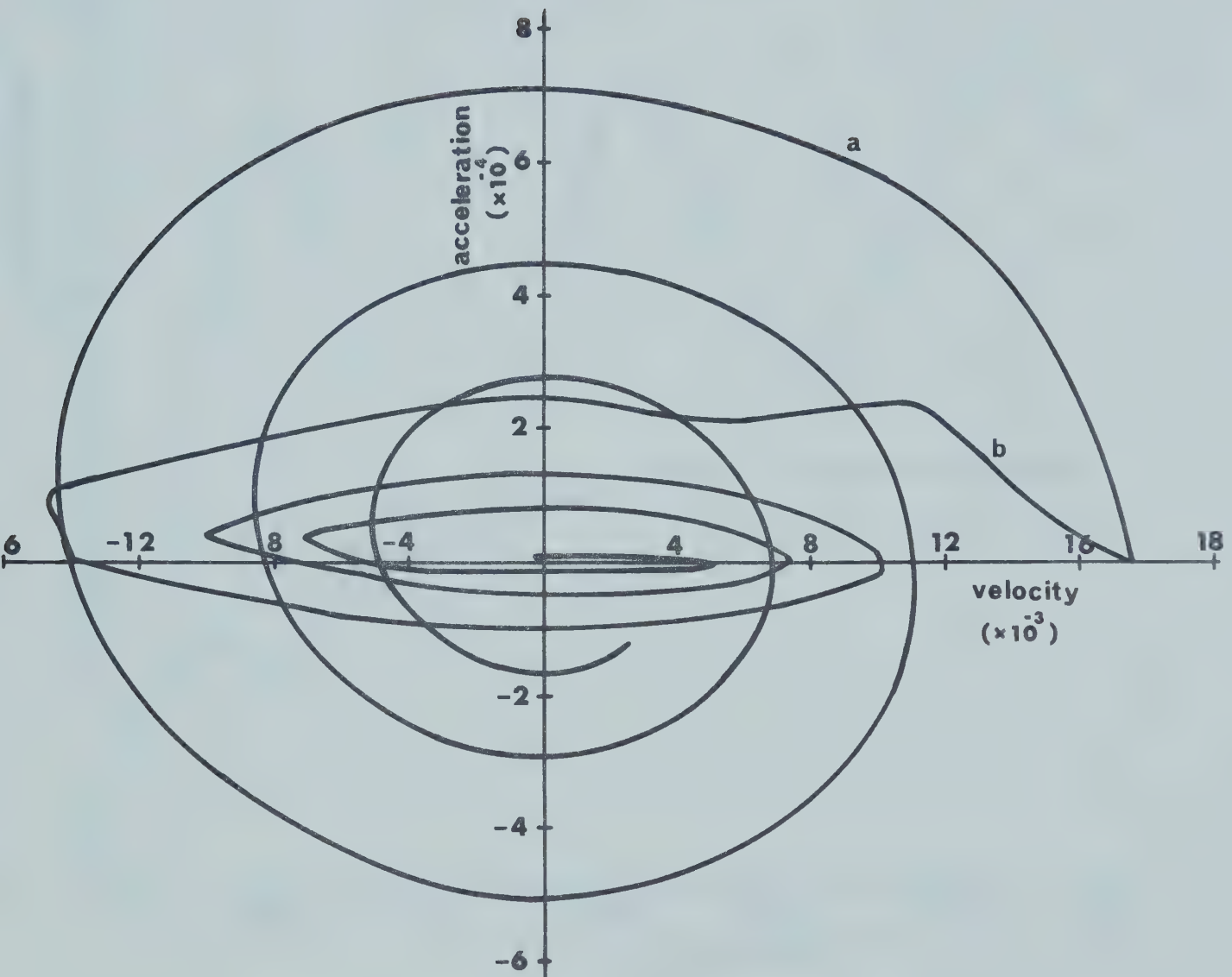


Fig. 4.2

Velocity vs acceleration plot corresponding to Fig. 4.1

- a) unregulated machine 10% torque step
- b) regulated machine (bang-bang control)





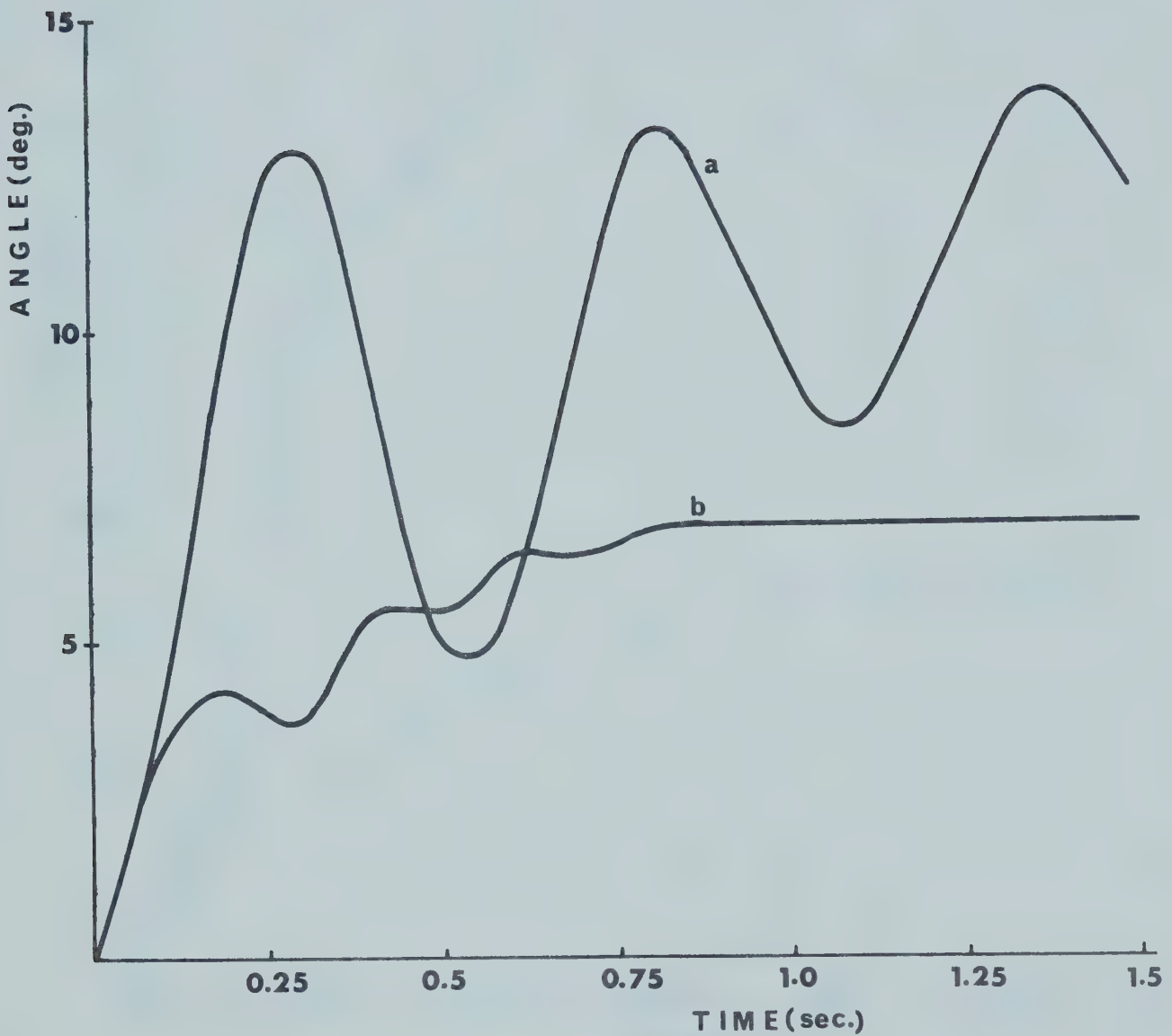


Fig. 4.3

Angle time characteristics for 30% torque step

a) unregulated machine

b) regulated machine



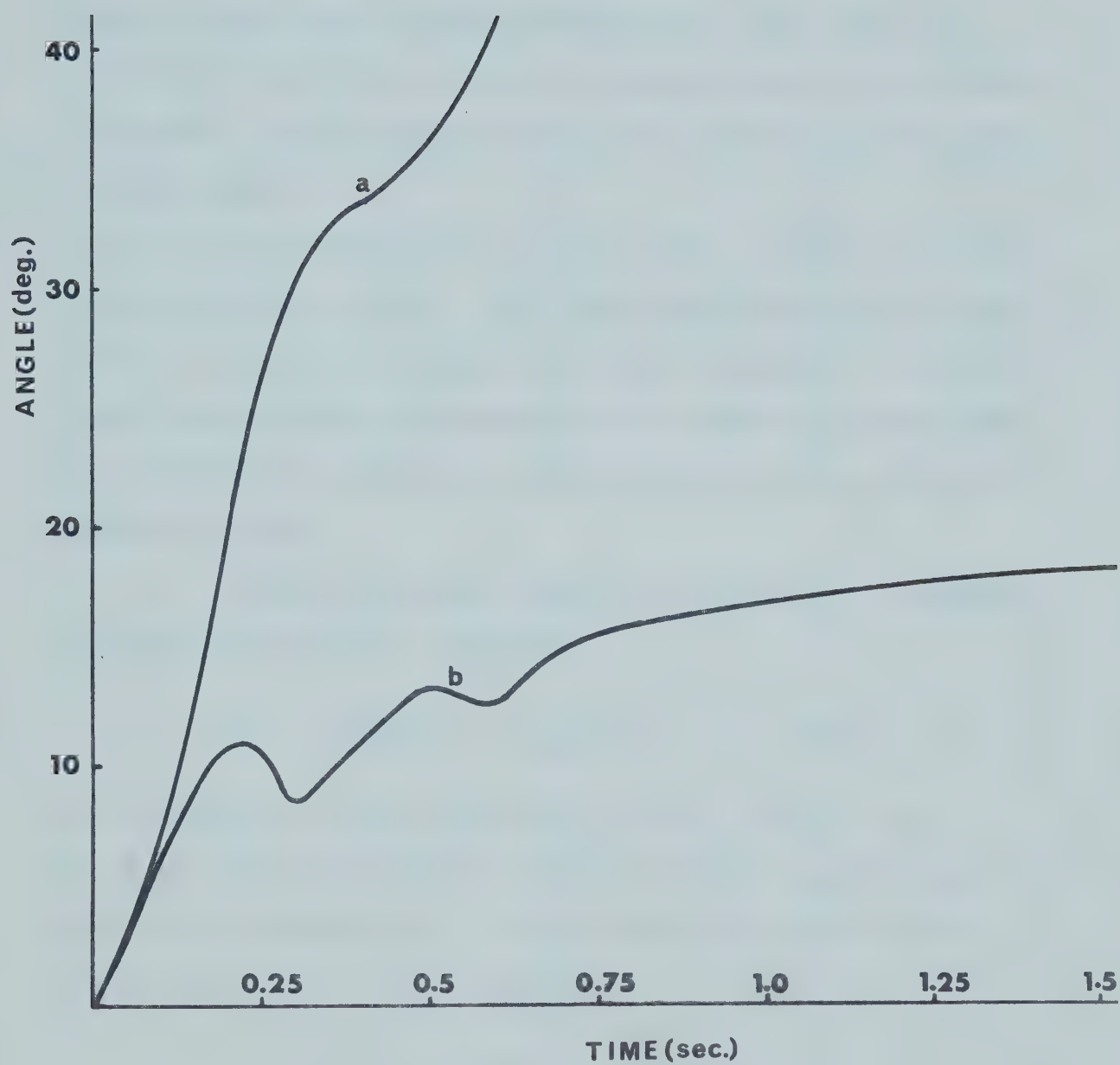


Fig. 4.4

Angle time characteristics for 50% torque step

a) unregulated machine

b) regulated machine (bang-bang control)



tory to reach the switching trajectory, and after the transition from one control to another occurs, the forced trajectory should continue along the switching trajectory to the origin.

Due to system imperfections the forced trajectory parallels the switch curve. For a big disturbance and strong control the forced trajectory tends to recross the switch curve after having switched once and control action steps back and forth causing the trajectory to zigzag along the switching curve.

A more important cause of oscillatory responses is due to the control function.

$$T(t) = -\frac{\omega_0}{6} \left[ E(t) + V_{fd} BN(t) + V_{fq} BK(t) \right]$$

is a function of the terms  $E(t)$ ,  $BN(t)$ ,  $BK(t)$  (i.e., a function of state) and the field voltages  $V_{fd}$  and  $V_{fq}$ . From this expression it is seen that the system can be controlled only if the relation

$$E(t) < (V_{fd} BN(t) + V_{fq} BK(t))$$

is satisfied. By checking the variation of  $E(t)$ ,  $BN(t)$  and  $BK(t)$  it was noted that there were uncontrollable initial periods of short duration.

Fig. 4.5 shows the comparison between the variation of field voltages and field currents. The stepwise



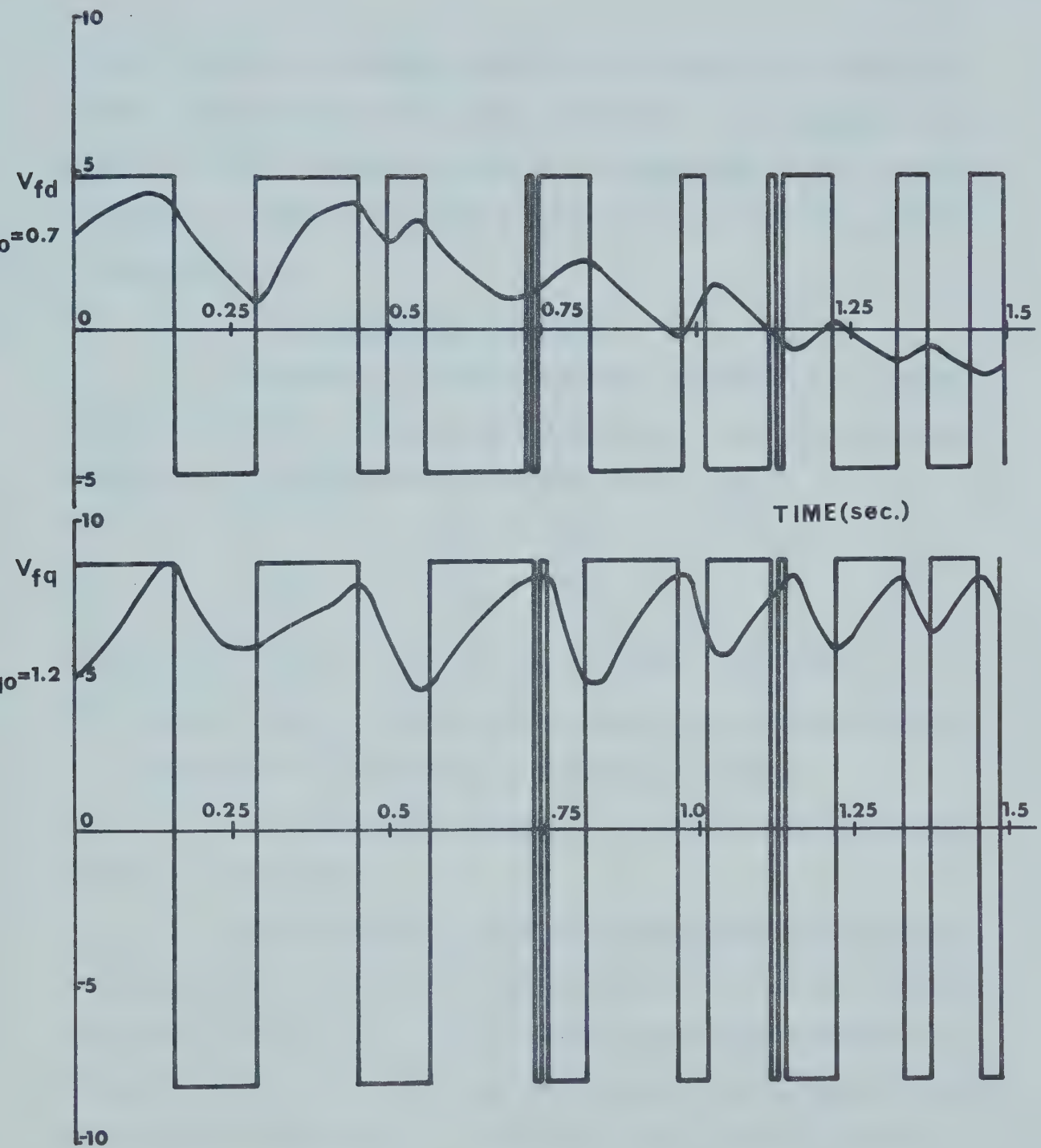


Fig. 4.5

Field voltages and field currents corresponding to  
50% torque step





field voltage variation cannot be followed by field currents, because of field time constants. In contrast to a single field machine the field currents may reach negative values to produce increased synchronizing (or resynchronizing) torque.

## 2. Proportional Control

Instead of using bang-bang control, i.e., the values of field voltages decided by the sign of switching function, a proportional control may be used.

$$\begin{aligned} V_{fd} &= -K_1 \Sigma \\ V_{fq} &= -K_2 \Sigma \end{aligned} \tag{4.2}$$

With constraints on  $V_{fd}$  and  $V_{fq}$  as defined in 3.2

The values of  $K_{1,2}$  should be as large as possible for  $K_{1,2} = \infty$ , equations 4.2 reduce to a bang-bang control.

Unfortunately large  $K_1, K_2$  may throw the system into a steady hunt.

The comparison between a bang-bang and proportional control for a 100% torque pulse of 3 cycles duration is given in Fig. 4.6. The angle time characteristics in Fig. 4.6 show that the bang-bang control has smaller first and second overshoots. The final rotor angle is also smaller than for the proportional control.

From Fig. 4.11 it can be seen that the bang-bang and proportional control deteriorate the terminal voltage,



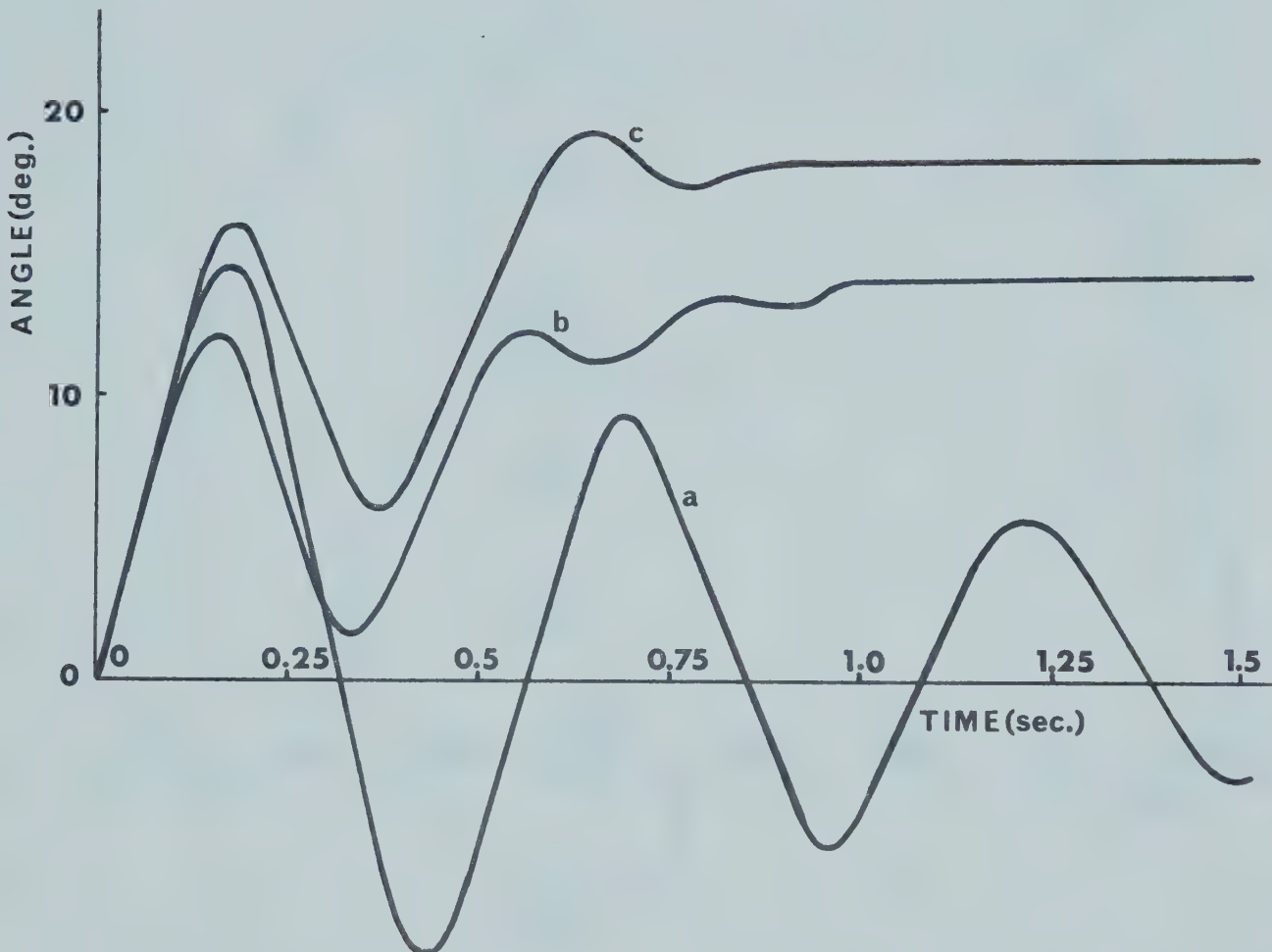


Fig. 4.6

Angle time characteristics for 100% torque pulse (3 cycles)

a) unregulated machine

b) regulated machine (bang-bang control)

c) regulated machine (proportional control)



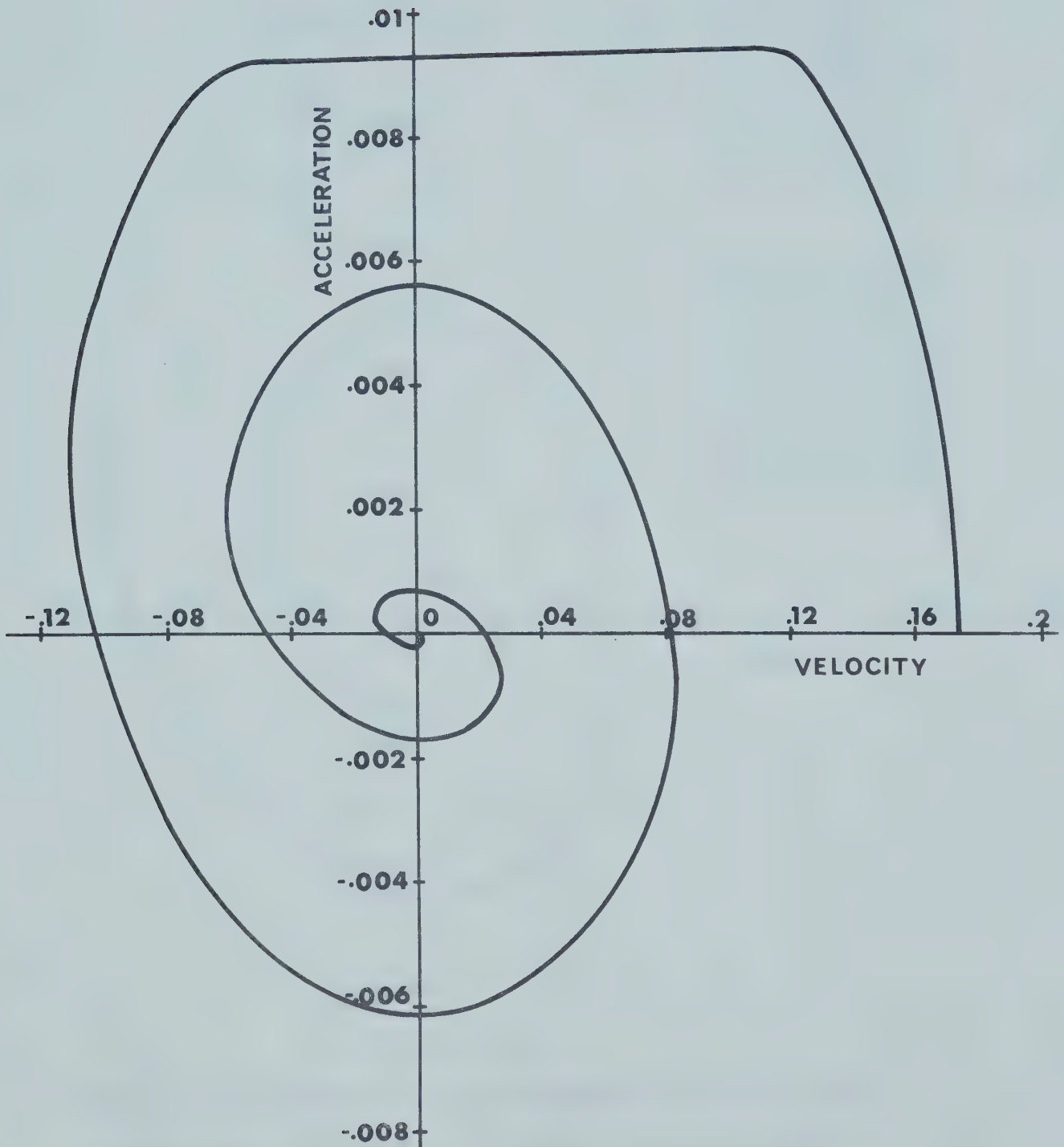


Fig. 4.7

Velocity vs acceleration plot corresponding to Fig. 4.6

100% torque pulse (case b, bang-bang control)



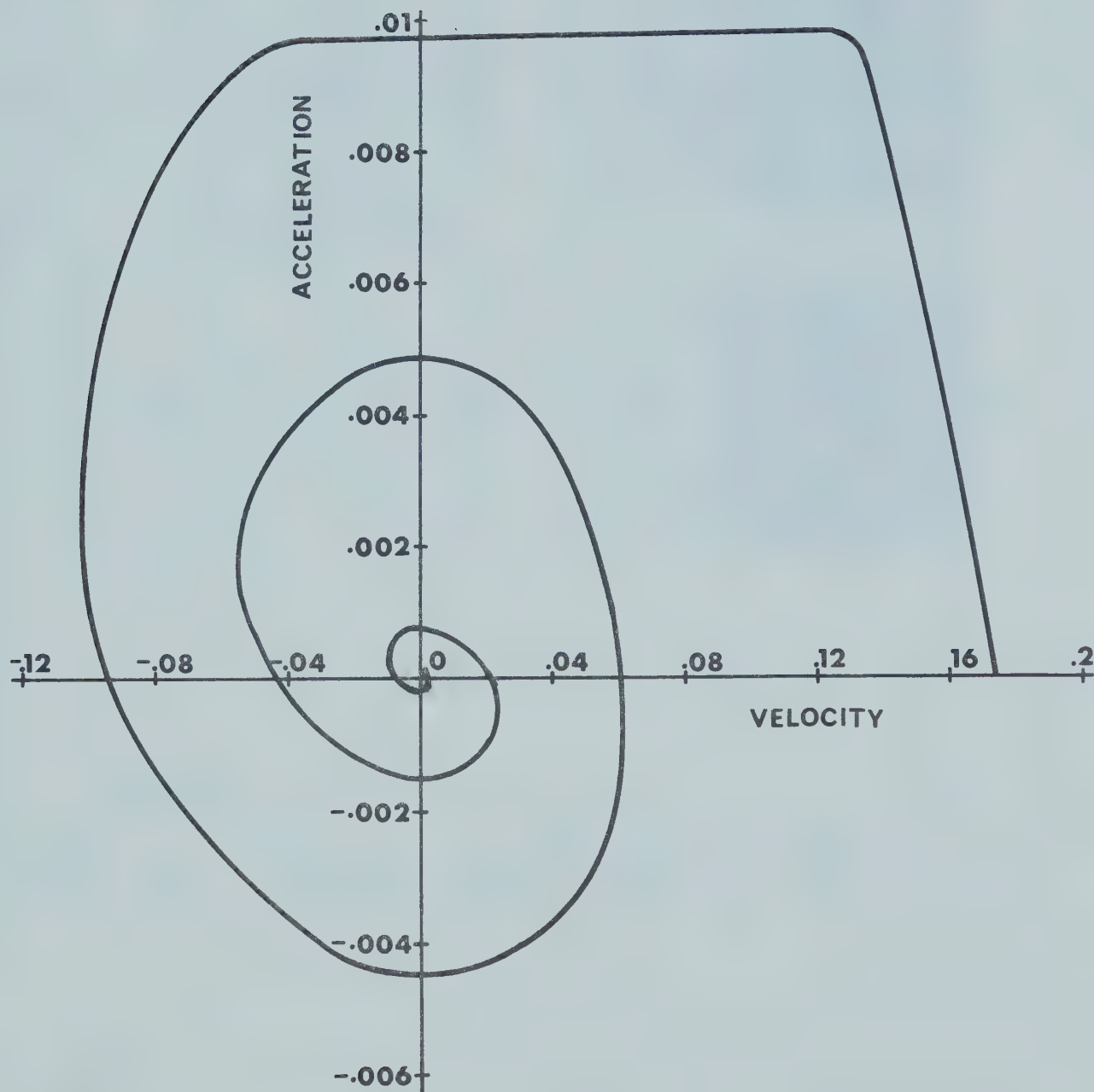


Fig. 4.8

Velocity vs acceleration corresponding to Fig. 4.6  
100% torque pulse (case c, proportional control)





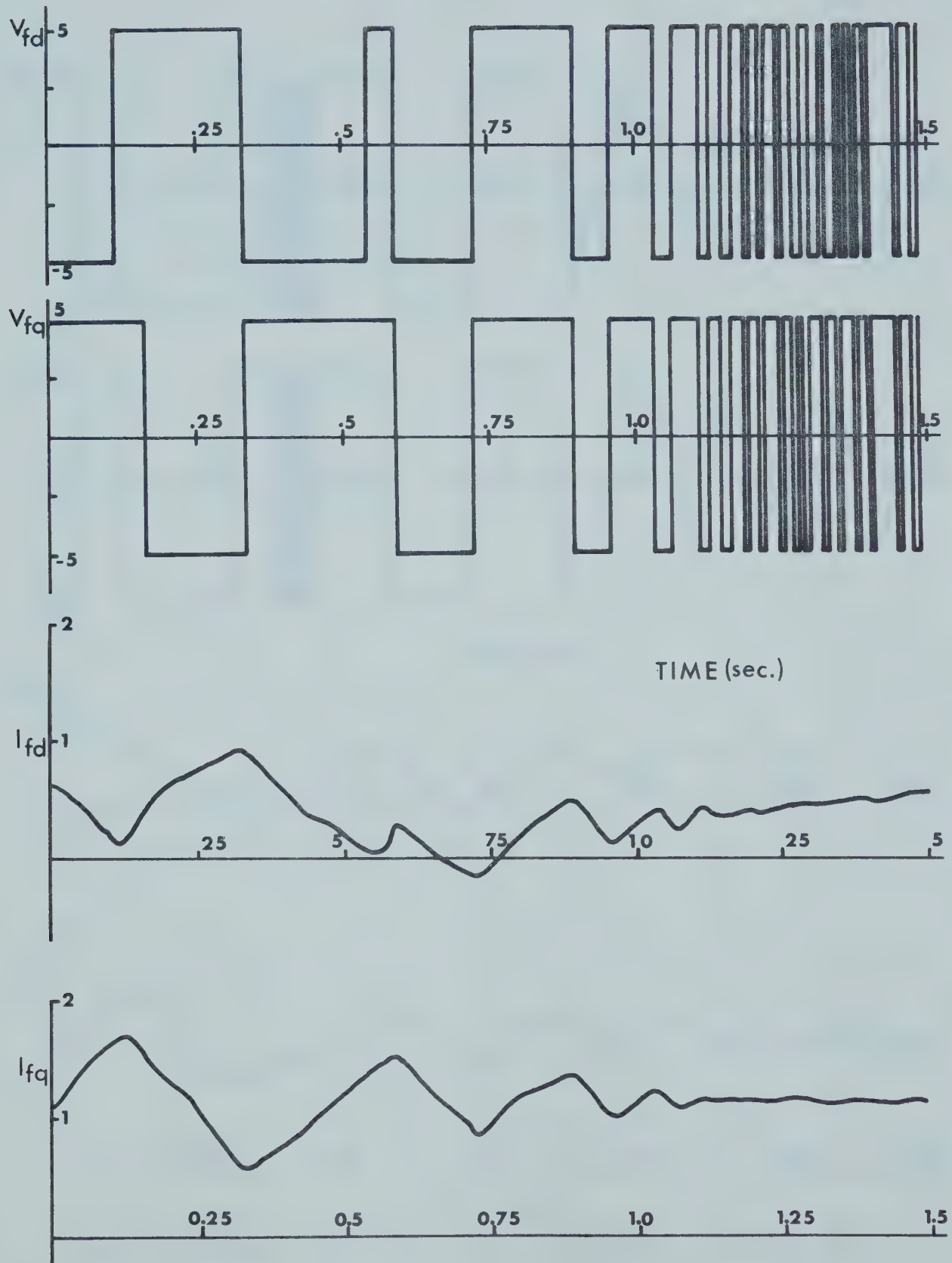


Fig. 4.9

Field voltages and field currents time characteristics corresponding to Fig. 4.6 100% torque pulse (case b, bang-bang control)



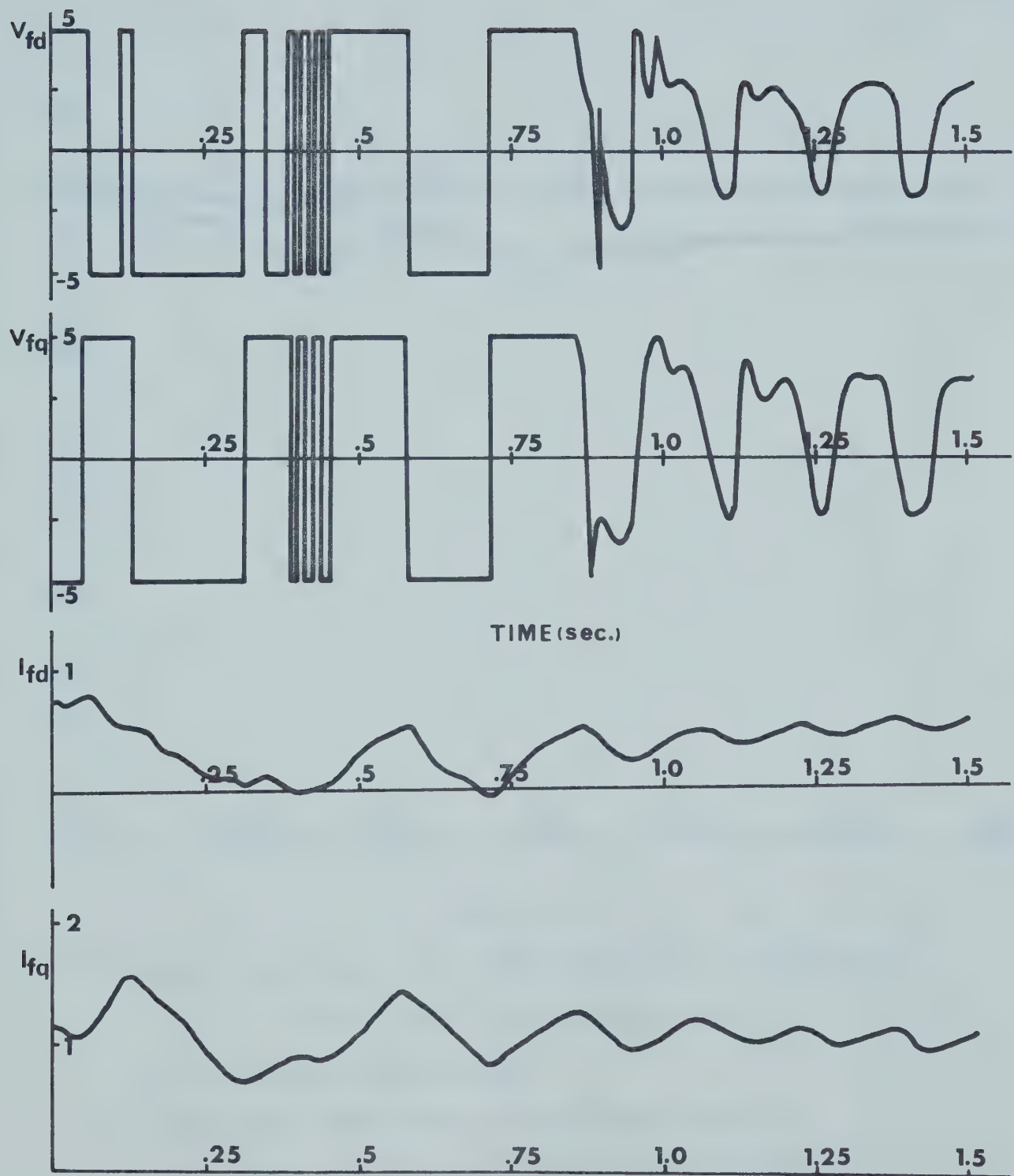


Fig. 4.10

Field voltages and field currents characteristics corresponding to Fig. 4.6 100% torque pulse (case c, proportional control)



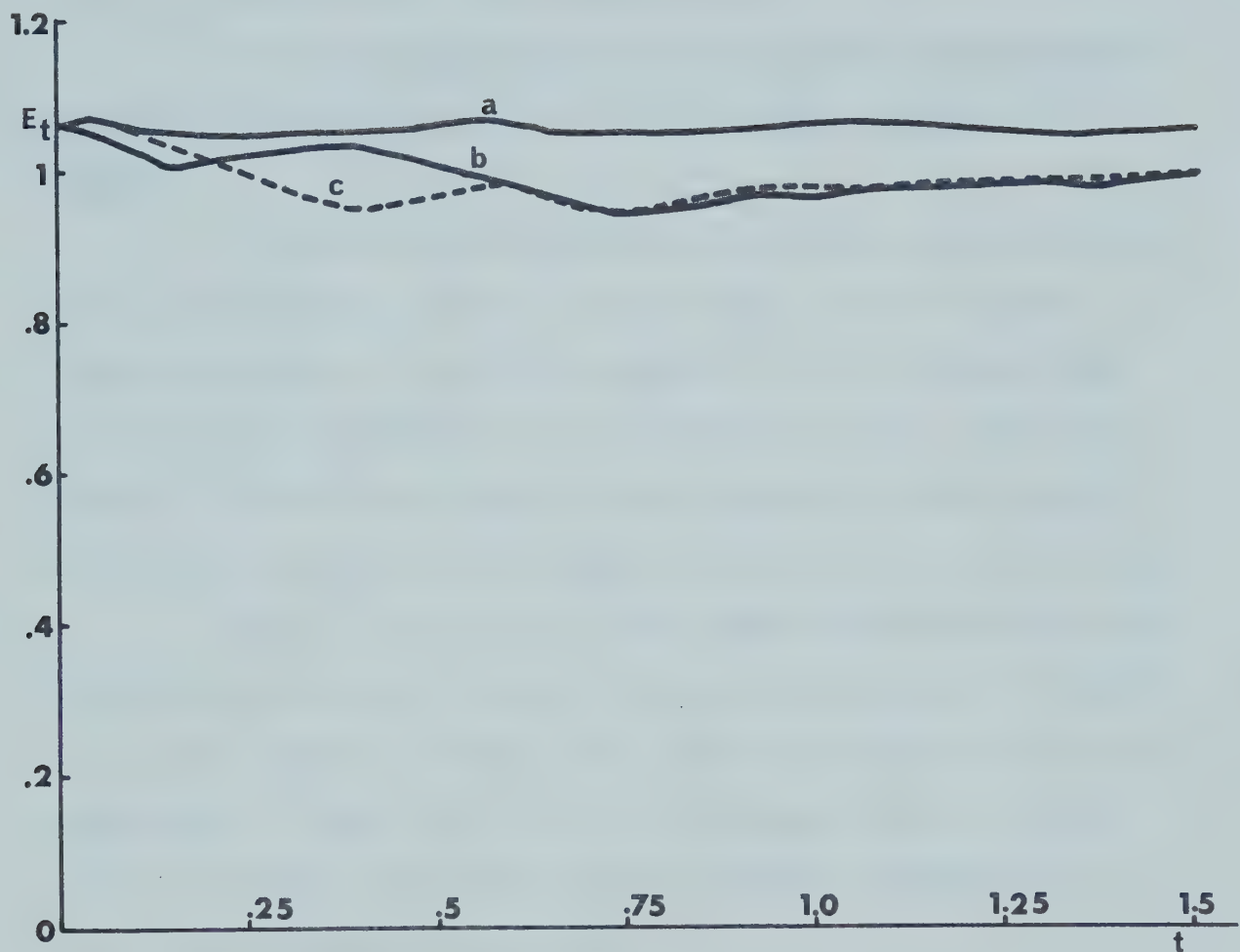


Fig. 4.11

Terminal voltages time characteristics corresponding  
to Fig. 4.6 100% torque pulse

- a) unregulated machine
- b) regulated machine (bang-bang control)
- c) regulated machine (proportional control)



because the time optimal policy was defined to bring system to a stable angle in a minimum time.

### 3. The Combination of the Voltage Regulator and the Angle Regulator with the Proportional Stabilizing Signal

Practical considerations require a constant angle value and voltage within a given range. This requires implementation of a voltage regulator on the direct axis and an angle regulator on the quadrature axis. By introducing a proportional stabilizing signal on both axes of the rotor the final rotor angle is reached in shorter time.

Fig. 4.12-b is an angle time characteristics for a 100% torque puls. The new final stable angle is reached in a short period of time with only one overshoot and one undershoot. From Fig. 4.14-b it is seen that the variation of terminal voltage is much improved (compare with Fig. 4.11-b bang-bang control).

For the same system and for the same disturbance an angle regulator on the quadrature axis is added to bring the system back to its initial rotor position. Fig. 4.12-c shows that after the disturbance is cleared the initial rotor angle is regained.

From Fig. 4.14 it can be seen that the terminal voltage is initially deteriorated by the strong stabilizing signal.





At the initial time, variations of field voltage are almost bang-bang (Fig. 4.15) but after the stable point is reached the voltage variation on direct axis is smoother and on the quadrature axis is still big due to the proportional signal presented on quadrature axis.



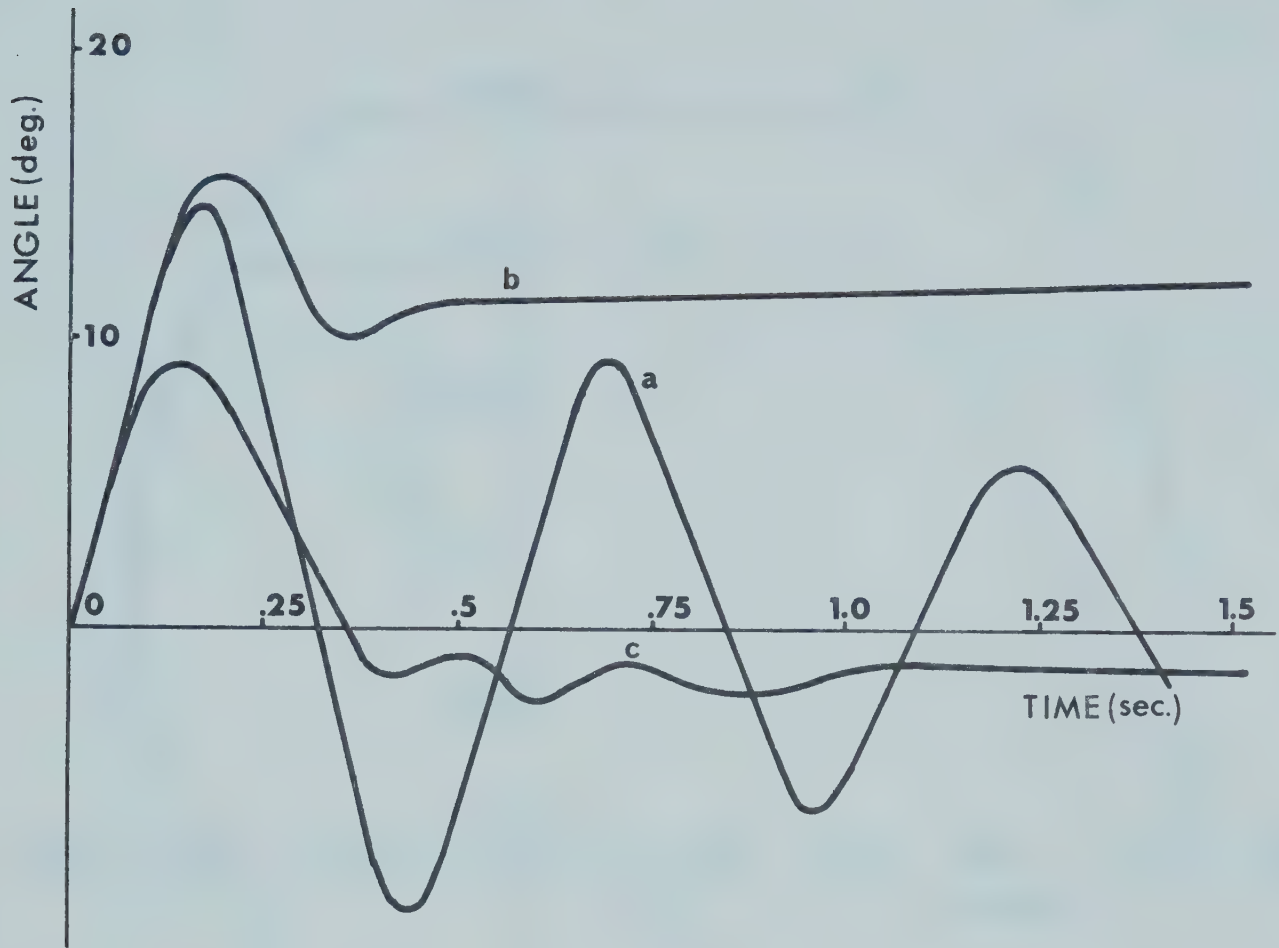


Fig. 4.12

Angle time characteristics for 100% torque pulse (3 cycles)

- a) unregulated machine
- b) regulated machine with a proportional control on quadrature axis and voltage regulator on direct axis.
- c) regulated machine with an angle regulator on quadrature axis, voltage regulator on direct axis and stabilizing signal on both axis.



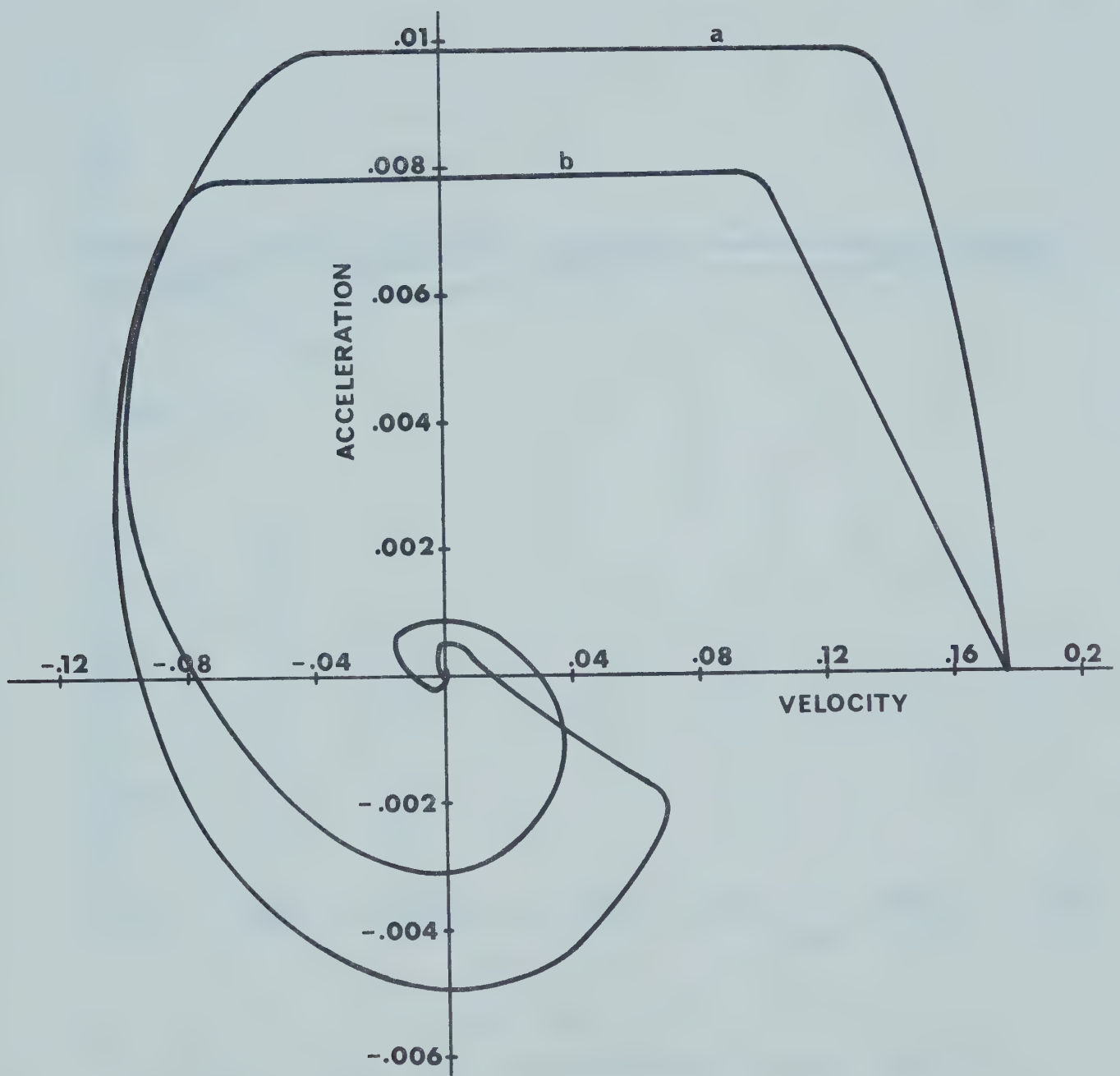


Fig. 4.13

- Velocity vs acceleration plots corresponding to Fig. 4.12
- a) regulated machine with voltage regulator on direct axis and proportional control on quadrature axis
  - b) regulated machine with voltage and angle regulators plus stabilizing signal



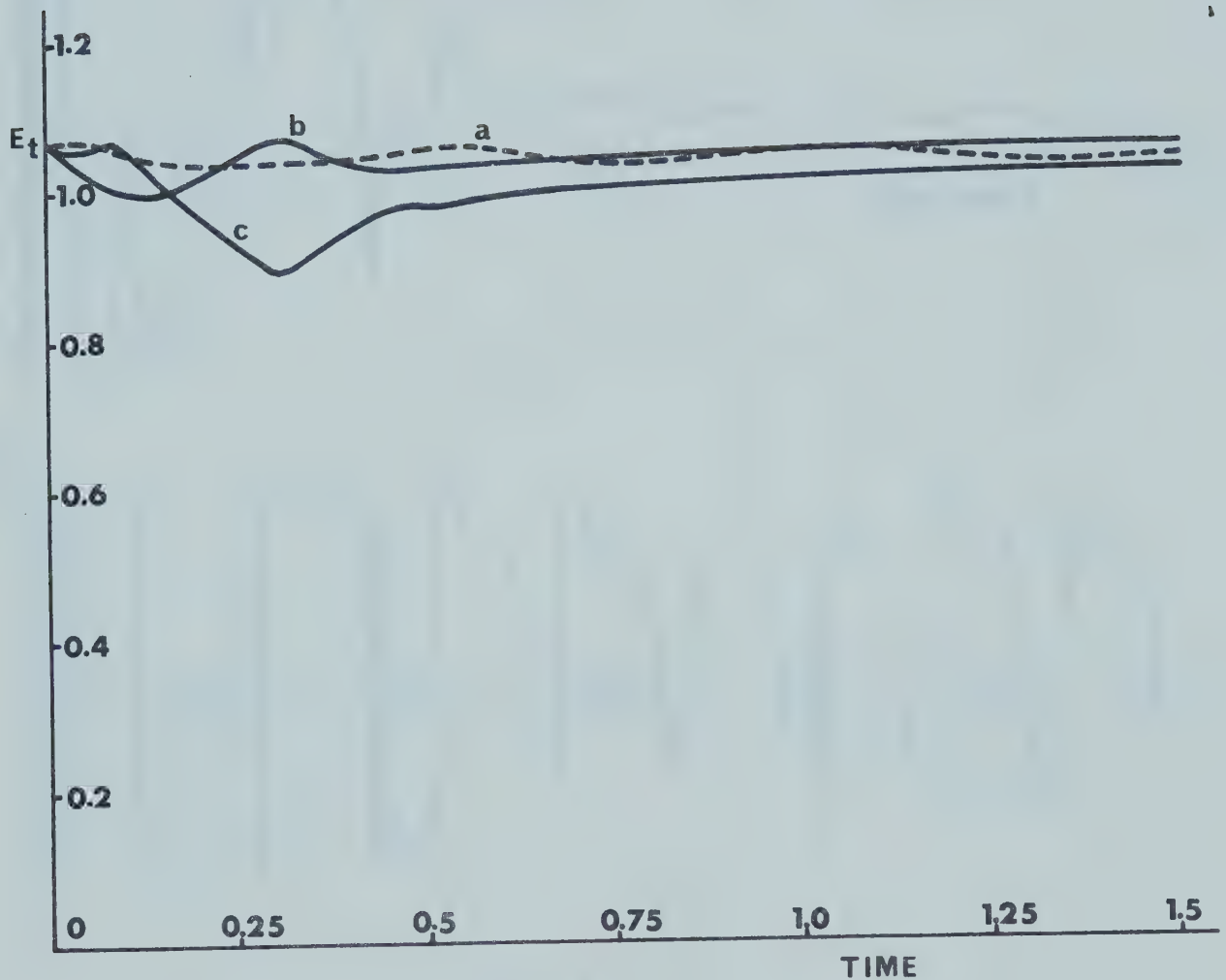


Fig. 4.14

Terminal voltages plot corresponding to Fig. 4.12

- a) unregulated machine
- b) regulated machine with voltage regulator on direct axis and proportional control on quadrature axis.
- c) regulated machine with voltage and angle regulators plus stabilizing signal on both axis





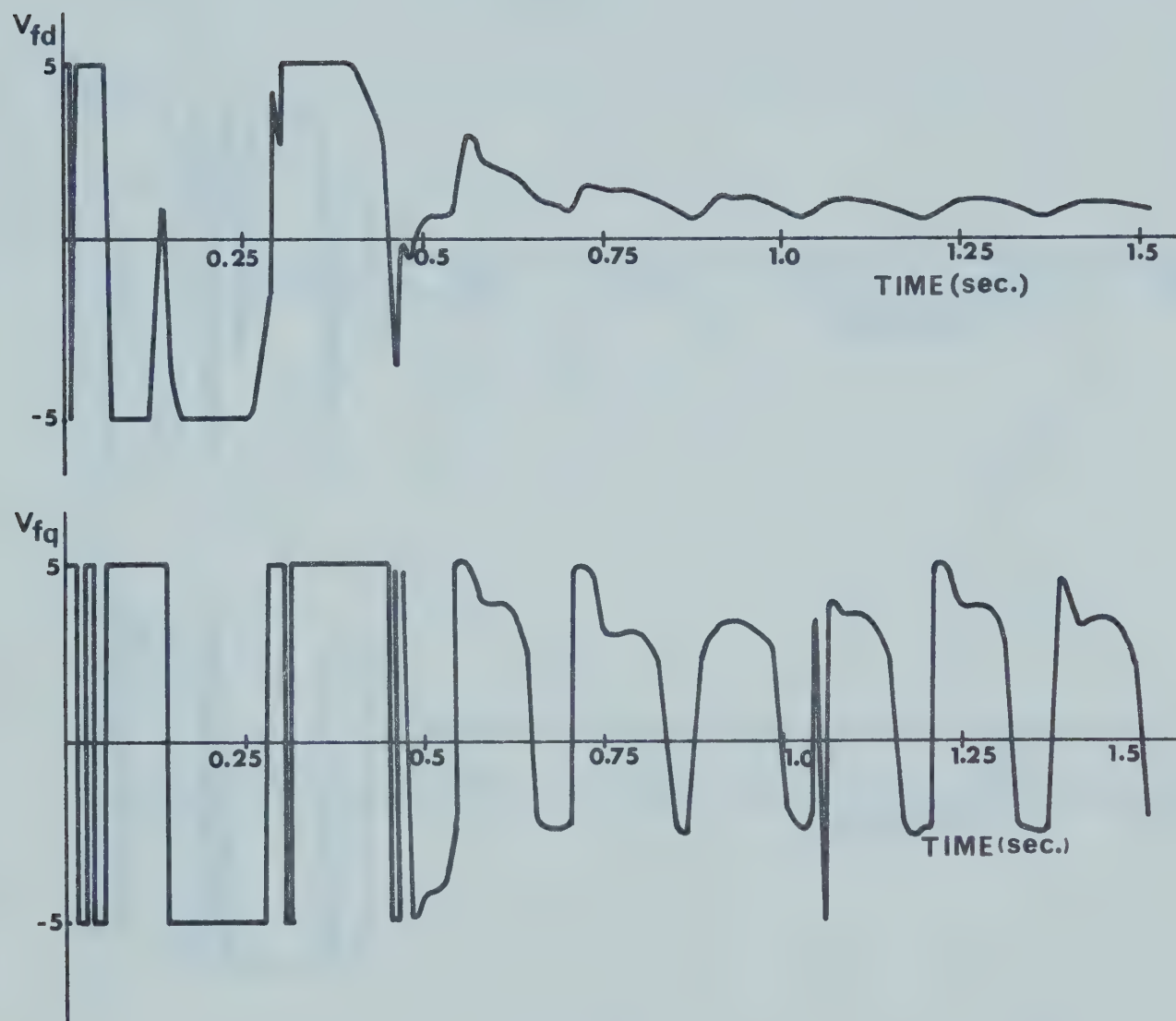


Fig. 4.15

Field voltages variation for 100% pulse (3 cycles) corresponding to Fig. 4.12 (case b, voltage regulator on direct axis and proportional signal on quadrature axis).



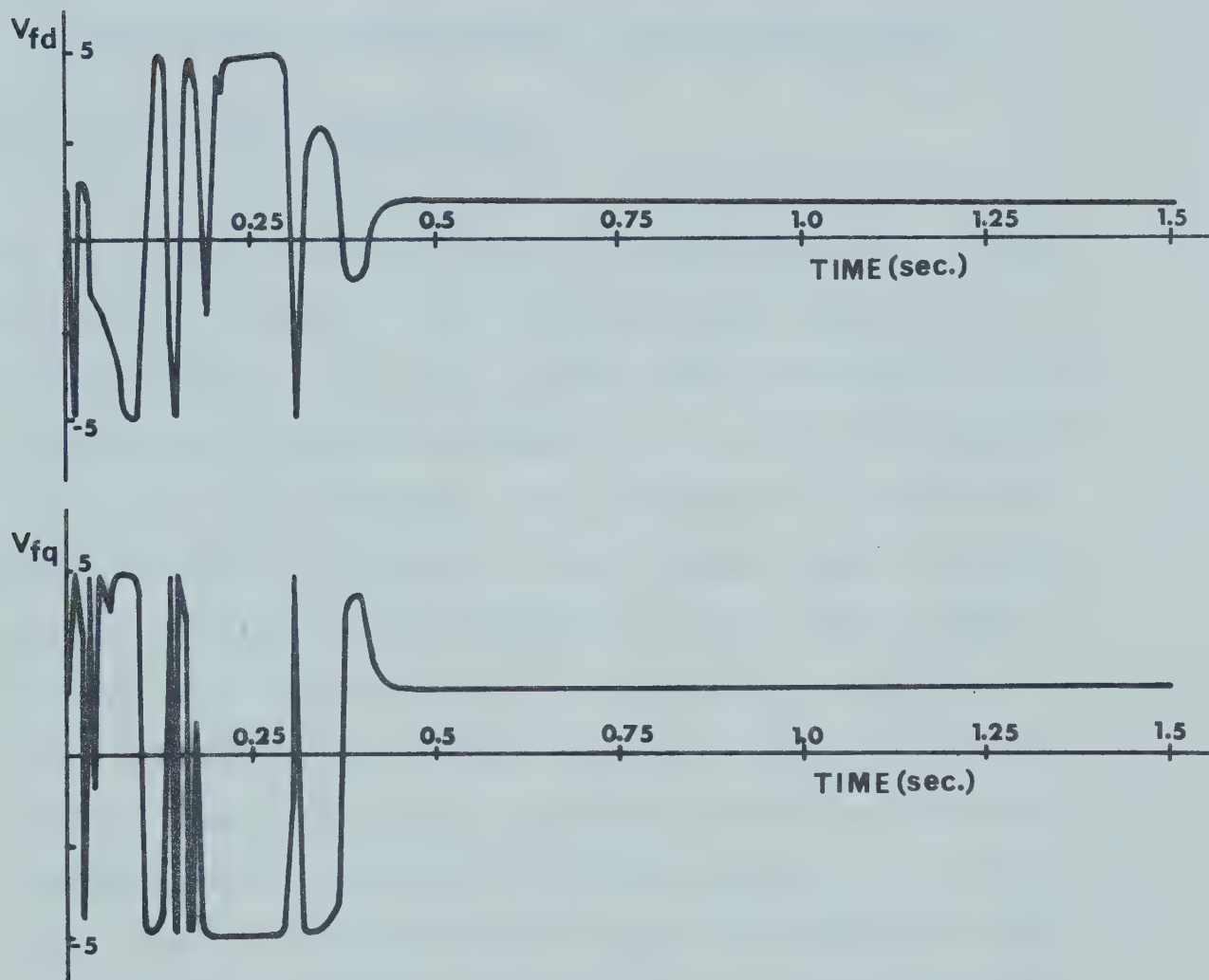


Fig. 4.16

Field voltages variation for 100% torque pulse (3 cycles) corresponding to Fig. 3.12 (case c, voltage regulator on direct axis and angle regulator on quadrature axis with proportional signal on both axis). After reaching stable angle the proportional signal is switched off.



## CHAPTER 5

## CONCLUSIONS AND SUGGESTIONS FOR FURTHER RESEARCH

5.1 Summary and Conclusions

This thesis presents a study of the transient stability of a dual-exciter synchronous generator. Optimal control theory in the closed form was applied to a nonlinear model. It can be noted that the unknown initial values of the costate variables  $\pi_1$ ,  $\pi_2$  and  $\pi_3$  (in equation 3.22) were not calculated. The sub-optimal control was found solely as a function of the states. Such an implementation requires the measurement of the entire state vector. The result of this is a bang-bang control law, i.e., control actions switch between extremes of allowed values. Bang-bang control lacks the ability to cope with feedback control required for other purposes. As a practical solution a proportional control in combination with a voltage regulator on the direct axis and an angle regulator on the quadrature axis is proposed.

The significance of the analysis of this nonlinear model is of importance because it gives a correct representation of the system in any operating condition. Control of such a system is difficult especially with single control. The analysis of the results shows that the time optimal responses were not achieved. In general



sub-optimal solutions were obtained. The time required for stabilization was less than one second compared to a time of three to four seconds obtained using conventional regulators with first and second derivative feedback stabilizing signals.

The double winding rotor machine while somewhat more expensive than a conventional machine has significant operating advantage. Use of sub-optimal closed form excitation as described in this paper can provide stabilization at a cost which is small compared to the costs of presently used alternatives.

With judicious choice of approximate control signals the control complexity can be reduced to little more than that of conventional excitation control systems.

## 5.2 Suggestion for Further Research

One factor influencing the performance of the system is the uncontrollable initial periods. This depends on the severity of the disturbance. Further study on modifications of the control may reduce or eliminate this. This will increase the complexity of the system. Another area for further study is that of obtaining simple satisfactory practical control signals. That is, a control using readily measured variables.





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## NOTATION

$V_{fd}$	Direct-axis field voltage
$V_{fq}$	Quadrature-axis field voltage
$V_d$	Direct-axis bus voltage
$V_q$	Quadrature-axis bus voltage
$e_d$	Direct-axis armature voltage
$e_q$	Quadrature-axis armature voltage
$r_{fd}$	Direct-axis field resistance
$r_{fq}$	quadrature-axis field resistance
$r_{Kd}$	Direct-axis amortisseur resistance
$r_{Kq}$	Quadrature-axis amortisseur resistance
$R$	Armature resistance
$x_d$	Direct-axis armature reactance
$x_q$	Quadrature-axis armature reactance
$x_{afd}$	Direct-axis mutual reactance between armature and field
$x_{afq}$	Quadrature-axis mutual reactance between armature and field
$x_{akd}$	Direct-axis mutual reactance between amortisseur and field
$x_{akq}$	Quadrature-axis mutual reactance between amortisseur and field
$x_{ffd}$	Direct-axis field reactance
$x_{ffq}$	Quadrature-axis field reactance



$x_{kkd}$	Direct-axis amortisseur reactance
$x_{kkq}$	Quadrature-axis amortisseur reactance
$x_L$	Line reactance
$\psi_d$	Direct-axis armature flux linkage
$\psi_q$	Quadrature-axis armature flux linkage
$\psi_{fd}$	Direct-axis field flux linkage
$\psi_{fq}$	Quadrature-axis field flux linkage
$\psi_{kd}$	Direct-axis amortisseur flux linkage
$\psi_{kq}$	Quadrature-axis amortisseur flux linkage
$\omega_o$	Synchronous speed in radians per second
$p$	Differential operator
$T_j$	Inertia constant in seconds
$\delta$	Rotor angle with reference to infinite bus in radians
$T_t$	Turbine torque
$T_{el}$	Electromagnetic torque
$K_d$	Mechanical damping coefficient
$T_v$	Voltage regulator time constant
$T_a$	Angle regulator time constant
$K_v$	Voltage regulator gain
$K_a$	Angle regulator gain
$i_{fd}$	Direct-axis field current
$i_{fq}$	Quadrature-axis field current
$i_d$	Direct-axis armature current
$i_q$	Quadrature-axis armature current
$u(t)$	Stabilizing signal
$\Sigma$	Switching function



## APPENDIX I

The A, B, E and F coefficients are:

$$\begin{aligned}
 A_{11} &= \frac{1}{\omega_o} x_{afd} & A_{21} &= \frac{1}{\omega_o} x_{ffd} & A_{31} &= \frac{1}{\omega_o} x_{fk d} \\
 A_{12} &= \frac{1}{\omega_o} x_{akd} & A_{22} &= \frac{1}{\omega_o} x_{fk d} & A_{32} &= \frac{1}{\omega_o} x_{kkd} \\
 A_{13} &= -\left(\frac{1}{\omega_o} x_d + L_e\right) & A_{23} &= \frac{1}{\omega_o} x_{afd} & A_{33} &= -\frac{1}{\omega_o} x_{akd}
 \end{aligned}$$

$$\begin{aligned}
 B_{13} &= R_e + R & B_{21} &= -r_{fd} \\
 B_{14} &= x_{afq} & B_{32} &= -r_{kd} \\
 B_{15} &= x_{akq} \\
 B_{16} &= -(x_q + x_e)
 \end{aligned}$$

$$\begin{aligned}
 E_{11} &= \frac{1}{\omega_o} x_{afq} & E_{21} &= \frac{1}{\omega_o} x_{ffq} & E_{31} &= \frac{1}{\omega_o} x_{fkq} \\
 E_{12} &= \frac{1}{\omega_o} x_{akq} & E_{22} &= \frac{1}{\omega_o} x_{fkq} & E_{32} &= \frac{1}{\omega_o} x_{kkq} \\
 E_{13} &= -\left(\frac{1}{\omega_o} x_q + L_e\right) & E_{23} &= -\frac{1}{\omega_o} x_{afq} & E_{33} &= -\frac{1}{\omega_o} x_{akq}
 \end{aligned}$$



$$F_{41} = -x_{afd}$$

$$F_{59} = -R_{fq}$$

$$F_{42} = -x_{akd}$$

$$F_{65} = -R_{kq}$$

$$F_{43} = (x_d + x_e)$$

$$F_{46} = R + R_e$$

The  $A(I,J)$  coefficients are:

$$A(1,1) = C_{12} B_{21}$$

$$A(4,1) = D_{11} F_{41}$$

$$A(1,2) = C_{13} B_{32}$$

$$A(4,2) = D_{11} F_{42}$$

$$A(1,3) = C_{11} B_{13}$$

$$A(4,3) = D_{11} F_{43}$$

$$A(1,4) = C_{11} B_{14}$$

$$A(4,4) = D_{12} F_{54}$$

$$A(1,5) = C_{11} B_{15}$$

$$A(4,5) = D_{13} F_{65}$$

$$A(1,6) = C_{11} B_{16}$$

$$A(4,6) = D_{11} F_{46}$$

$$A(2,1) = C_{22} B_{21}$$

$$A(5,1) = D_{21} F_{41}$$

$$A(2,2) = C_{23} B_{32}$$

$$A(5,2) = D_{21} F_{42}$$

$$A(2,3) = C_{21} B_{13}$$

$$A(5,3) = D_{21} F_{43}$$

$$A(2,4) = C_{21} B_{14}$$

$$A(5,4) = D_{22} F_{54}$$

$$A(2,5) = C_{21} B_{15}$$

$$A(5,5) = D_{23} F_{65}$$

$$A(2,6) = C_{21} B_{16}$$

$$A(5,6) = D_{21} F_{46}$$





$$A(3,1) = C_{32} B_{21}$$

$$A(6,1) = D_{31} F_{41}$$

$$A(3,2) = C_{33} B_{32}$$

$$A(6,2) = D_{31} F_{42}$$

$$A(3,3) = C_{31} B_{13}$$

$$A(6,3) = D_{31} F_{43}$$

$$A(3,4) = C_{31} B_{14}$$

$$A(6,4) = D_{32} F_{54}$$

$$A(3,5) = C_{31} B_{15}$$

$$A(6,5) = D_{33} F_{65}$$

$$A(3,6) = C_{31} B_{16}$$

$$A(6,6) = D_{31} F_{46}$$

where the D and C coefficients are given on next page.



The C and D coefficients are:

$$\begin{aligned}
 C_{11} &= (A_{22} A_{33} - A_{32} A_{23}) / A_M \\
 C_{12} &= (A_{32} A_{13} - A_{12} A_{33}) / A_M \\
 C_{13} &= (A_{12} A_{23} - A_{22} A_{13}) / A_M \\
 C_{21} &= (A_{31} A_{23} - A_{21} A_{33}) / A_M \\
 C_{22} &= (A_{11} A_{33} - A_{31} A_{13}) / A_M \\
 C_{23} &= (A_{21} A_{13} - A_{11} A_{23}) / A_M \\
 C_{31} &= (A_{21} A_{32} - A_{31} A_{22}) / A_M \\
 C_{32} &= (A_{31} A_{12} - A_{11} A_{32}) / A_M \\
 C_{33} &= (A_{11} A_{22} - A_{21} A_{12}) / A_M
 \end{aligned}$$

$$\begin{aligned}
 D_{11} &= (E_{22} E_{33} - E_{32} E_{23}) / E_M \\
 D_{12} &= (E_{32} E_{13} - E_{12} E_{33}) / E_M \\
 D_{13} &= (E_{12} E_{23} - E_{22} E_{13}) / E_M \\
 D_{21} &= (E_{31} E_{23} - E_{21} E_{33}) / E_M \\
 D_{22} &= (E_{11} E_{33} - E_{31} E_{13}) / E_M \\
 D_{23} &= (E_{21} E_{13} - E_{11} E_{23}) / E_M \\
 D_{31} &= (E_{21} E_{32} - E_{31} E_{22}) / E_M \\
 D_{32} &= (E_{31} E_{12} - E_{11} E_{32}) / E_M \\
 D_{33} &= (E_{11} E_{22} - E_{21} E_{12}) / E_M
 \end{aligned}$$

where the  $A_M = A_{11} A_{22} A_{33} + A_{12} A_{23} A_{31} + A_{13} A_{21} A_{32}$   
 $- A_{31} A_{22} A_{13} - A_{32} A_{23} A_{11} - A_{33} A_{21} A_{12}$

and  $E_M = E_{11} E_{22} E_{33} + E_{12} E_{23} E_{31} + E_{13} E_{21} E_{32}$   
 $- E_{31} E_{22} E_{13} - E_{32} E_{23} E_{11} - E_{33} E_{21} E_{12}$



### System Parameters

The parameters are of a 200 Mw, 16.5 KV turbo-generator which has a quadrature-axis field winding identical with the direct-axis field winding.

$x_d = 1.6 \text{ p.u.}$	$x_{afd} = 1.45 \text{ p.u.}$
$x_q = 1.6 \text{ p.u.}$	$x_{afq} = 1.45 \text{ p.u.}$
$x_{ffd} = 1.6 \text{ p.u.}$	$R_{fd} = 0.001 \text{ p.u.}$
$x_{ffq} = 1.6 \text{ p.u.}$	$R_{fq} = 0.001 \text{ p.u.}$
$x_{fk d} = 1.45 \text{ p.u.}$	$R_e = 0.1 \text{ p.u.}$
$x_{fk q} = 1.45 \text{ p.u.}$	$x_e = 0.3 \text{ p.u.}$
$x_{kk d} = 1.51 \text{ p.u.}$	$K_d = 0.004 \text{ p.u.}$
$x_{kk q} = 1.51 \text{ p.u.}$	$H = 3.0 \text{ p.u.}$
$x_{ak q} = 1.45 \text{ p.u.}$	$V = 1.0 \text{ p.u.}$
$R_{kd} = 0.009 \text{ p.u.}$	
$R_{kq} = 0.009 \text{ p.u.}$	

The operating point calculated from 2.35 is given by:

$P_o = 1.0 \text{ p.u.}$	$V_{fd} = 1.052 \text{ p.u.}$	$E_t = 1.061 \text{ p.u.}$
$Q_o = 0.0 \text{ p.u.}$	$V_{fq} = 1.75 \text{ p.u.}$	
$\delta = 0.0 \text{ rad.}$	$V = 1.0$	



## APPENDIX II

Equation 3.5 is  $p^T_e = x_{afd} i_q p_{fd} + x_{akd} i_q p_{kd} + x_{ffd} i_{fd} p_q + x_{fk d} i_{kd} p_q - x_{afq} i_d p_{fq} - x_{akq} i_d p_{kq} - x_{afq} i_{fq} p_d - x_{akq} i_{kq} p_d$

Substituting expression for derivatives in the above equation, yields:

$$\begin{aligned}
 p^T_e = & x_{afd} i_q A(1,1) i_{fd} & x_{akd} i_q A(2,1) i_{fd} \\
 & + x_{afd} i_q A(1,2) i_{kd} & + x_{akd} i_q A(2,2) i_{kd} \\
 & + x_{afd} i_q A(1,3) i_d & + x_{akd} i_q A(2,3) i_d \\
 & + x_{afd} i_q A(1,4) i_{fq} & + x_{akd} i_q A(2,4) i_{fq} \\
 & + x_{afd} i_q A(1,4) i_{fq} n & + x_{akd} i_q A(2,4) i_{fq} n \\
 & + x_{afd} i_q A(1,5) i_{kq} & + x_{akd} i_q A(2,5) i_{kq} \\
 & + x_{afd} i_q A(1,5) i_{kq} n & + x_{akd} i_q A(2,5) i_{kq} n \\
 & + x_{afd} i_q A(1,6) i_q & + x_{akd} i_q A(2,6) i_q \\
 & + x_{afd} i_q A(1,6) i_q n & + x_{akd} i_q A(2,6) i_q n \\
 & + x_{afd} i_q C_{11} V \sin \delta & + x_{akd} i_q C_{21} V \sin \delta \\
 & + x_{afd} i_q C_{12} V_{fd} + & + x_{akd} i_q C_{22} V_{fd}
 \end{aligned}$$





$$\begin{aligned}
& + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) A(6,1) i_{fd} \\
& + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) A(6,1) i_{fd} n \\
& + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) A(6,2) i_{kd} \\
& + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) A(6,2) i_{kd} n \\
& + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) A(6,3) i_d \\
& + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) A(6,3) i_d n \\
& + (x_{ffd} i_{fd} + x_{f d} i_{kd}) A(6,4) i_{fq} \\
& + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) A(6,5) i_{kq} \\
& + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) A(6,6) i_q \\
& + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) D_{31} V \cos \delta \\
& + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) D_{32} V_{fq}
\end{aligned}$$

$$\begin{aligned}
& - x_{afq} i_d A(4,1) i_{fd} & - x_{akq} i_d A(5,1) i_{fd} \\
& - x_{afq} i_d A(4,1) i_{fd} n & - x_{akq} i_d A(5,1) i_{fd} n \\
& - x_{afq} i_d A(4,2) i_{kd} & - x_{akq} i_d A(5,2) i_{kd} \\
& - x_{afq} i_d A(4,2) i_{kd} n & - x_{akq} i_d A(5,2) i_{kd} n \\
& - x_{afq} i_d A(4,3) i_d & - x_{akq} i_d A(5,3) i_d \\
& - x_{afq} i_d A(4,3) i_d n & - x_{akq} i_d A(5,3) i_d n \\
& - x_{afq} i_d A(4,4) i_{fq} & - x_{akq} i_d A(5,4) i_{fq} \\
& - x_{afq} i_d A(4,5) i_{kq} & - x_{akq} i_d A(5,5) i_{kq} \\
& - x_{afq} i_d A(4,6) i_q & - x_{akq} i_d A(5,6) i_q \\
& - x_{afq} i_d D_{11} V \cos \delta & - x_{akq} i_d D_{21} V \cos \delta \\
& - x_{afq} i_d D_{12} V_{fq} & - x_{akq} i_d D_{22} V_{fq}
\end{aligned}$$



$$\begin{aligned}
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) A(3,1) i_{fd} \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) A(3,2) i_{kd} \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) A(3,3) i_d \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) A(3,4) i_{fq} \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) A(3,4) i_{fq}^n \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) A(3,5) i_{kq} \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) A(3,5) i_{kq}^n \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) A(3,6) i_q \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) A(3,6) i_q^n \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) C_{31} V \sin \delta \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) C_{32} V_{fd}
\end{aligned} \tag{A2-1}$$

Equation A2-1 can be written as

$$p_e^T = - (E + V_{fd} BN + V_{fq} BK)$$

where

$$\begin{aligned}
BN(t) = & x_{afd} i_q C_{12} + x_{akd} i_q C_{22} - x_{afq} C_{32} \\
& - x_{adq} i_{kq} C_{32}
\end{aligned}$$

$$\begin{aligned}
BK(t) = & x_{ffd} i_{fd} D_{32} + x_{fk d} i_{kd} D_{32} - x_{afq} i_d D_{12} \\
& - x_{akq} i_d D_{22}
\end{aligned}$$

$$E(t) =$$

$$\begin{aligned}
& x_{afd} i_q A(1,1) i_{fd} + x_{afd} i_q A(1,2) i_{kd} + x_{afd} i_q A(1,3) i_d \\
& + x_{afd} i_q A(1,4) i_{fq} + x_{afd} i_q A(1,5) i_{kq} + x_{afd} i_q A(1,6) i_q
\end{aligned}$$



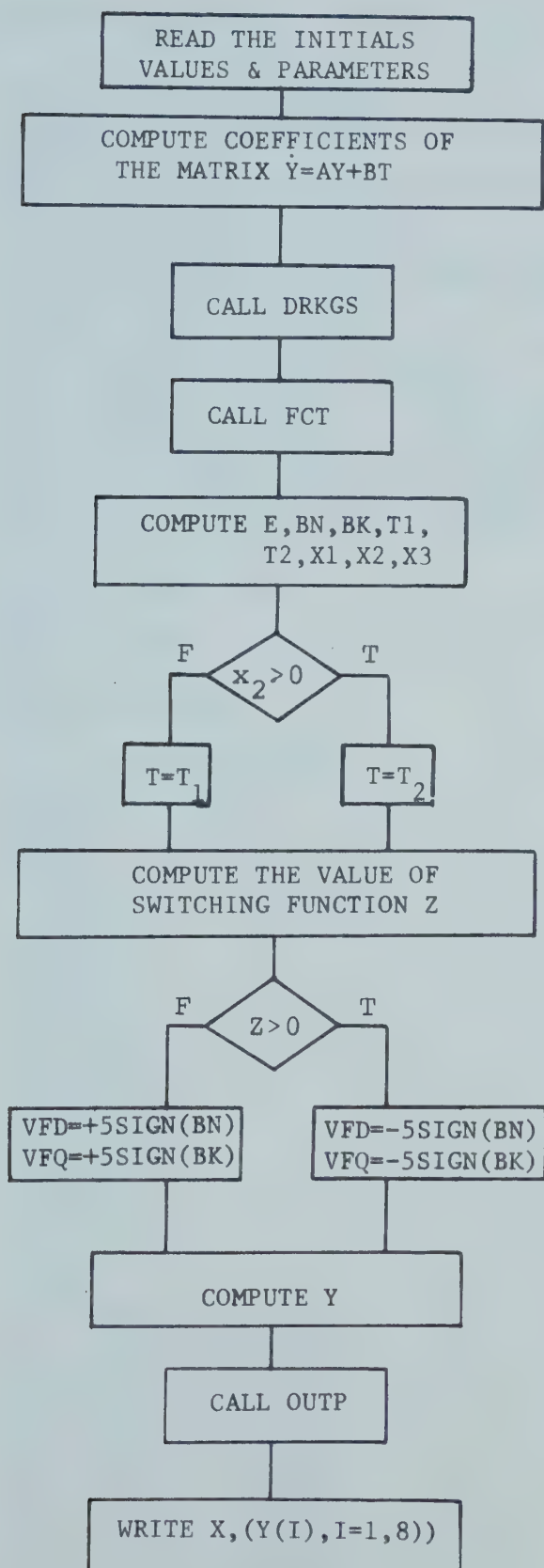
$$\begin{aligned}
& + x_{afd} i_q C_{11} V_d + x_{akd} i_q A(2,1) i_{fd} + x_{akd} i_q A(2,2) i_{kd} \\
& + x_{akd} i_q A(2,3) i_d + x_{akd} i_q A(2,4) i_{fq} + x_{akd} i_q A(2,5) i_{kq} \\
& + x_{akd} i_q A(2,6) i_q + x_{akd} i_q C_{21} V \sin \delta - x_{afq} i_d A(4,1) i_{fd} \\
& - x_{afq} i_d A(4,2) i_{kd} - x_{afq} i_d A(4,3) i_d - x_{afq} i_d A(4,4) i_{fq} \\
& - x_{afq} i_d A(4,5) i_{kq} - x_{afq} i_d A(4,6) i_q - x_{afq} i_d D_{11} V \cos \delta \\
& - x_{akq} i_d A(5,1) i_{fd} - x_{akq} i_d A(5,2) i_{kd} - x_{akq} i_d A(5,3) i_d \\
& - x_{akq} i_d A(5,6) i_{fq} - x_{akq} i_d A(5,5) i_{kq} - x_{akq} i_d A(5,6) i_q \\
& - x_{akq} i_d D_{21} V \cos \delta + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) A(6,1) i_{fd} \\
& + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) A(6,2) i_{kd} \\
& + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) A(6,3) i_d \\
& + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) A(6,4) i_{fq} \\
& + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) A(6,5) i_{kq} \\
& + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) A(6,6) i_q \\
& + (x_{ffd} i_{fd} + x_{fk d} i_{kd}) D_{31} V \cos \delta \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) A(3,1) i_{fd} \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) A(3,2) i_{kd} \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) A(3,3) i_d \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) A(3,4) i_{fq} \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) A(3,5) i_{kq} \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) A(3,6) i_q \\
& - (x_{afq} i_{fq} + x_{akq} i_{kq}) C_{31} V \sin \delta
\end{aligned}$$

In the expression for  $E(t)$  the terms with  $n$  are neglected (order of  $n$  is  $10^{-3}$ ).



# APPENDIX III

## Computer Program







FORTRAN IV COMPILER (20.1)

MAIN

08-08-72

```

0001      IMPLICIT REAL*8 (A-H,O-Z)
0002      DIMENSION DERY(8),Y(8),PRMT(5),AUX(8,8)
0003      EXTERNAL FCT,OUTP
0004      COMMON RFD,XD,XE,XAFD,RE,R,XFFD,XFFQ,XQ,RFQ,XFKD,XFKQ,
1XKKD,XKKQ,V,XAKD,XAKQ,RKD,RKQ
0005      COMMON C11,C12,C13,C21,C22,C23,C31,C32,C33,D11,D12,D13,D21,D22,
1D23,D31,D32,D33,X1,X2,X3,X4,DD,G,Z1,Z2,T,Z
0006      COMMON A(6,6),C(3,4)
0007      COMMON ZL,XEOLD, REOLD,ZLOLD,VOLD,I,II,J
0008      COMMON /AA/VFD,VFQ,ET,BN,BK,E,S,Z3
0009      READ(5,33)Y(1),Y(2),Y(3),Y(4),Y(5),Y(6),Y(7),Y(8)
0010 33  FORMAT(1P2D20.10/1P2D20.10/1P2D20.10/1P2D20.10)
0011      READ(5,30)RFD,XD,XE,XAFD,XAFQ,RE,R,XFFD,XFFQ,XQ,RFQ,XFKD,XFKQ,
1XKKD,XKKQ,V,DD,VFD,VFQ,XAKD,XAKQ,RKD,RKQ
0012 30  FORMAT (7D10.3/7D10.3/3D10.3/1P2D19,10/4D10.3)
0013      DO 2 K=1,8
0014 2   DERY(K)=1.0D0/8.0D0
0015      PRMT(1)=0.
0016      PRMT(2)=1.5D0
0017      PRMT(3)=0.001D0
0018      PRMT(4)=0.001D0
0019      NDIM=8
0020      G=314.0D0/6.0D0*0.004D0
0021      ZL=0.15D0/314.0D0
0022      X1=XAFD
0023      X2=XAKD
0024      X3=-XAFQ
0025      X4=-XAKQ
0026      B13=R+RE
0027      B14=XAFQ
0028      B15=XAKQ
0029      B16=-(XQ+XE)
0030      B21=-RFD
0031      B32=-RKD
0032      F41=-XAFD
0033      F42=-XAKD
0034      F43=XD+XE
0035      F46=R+RE
0036      F54=-RFQ
0037      F65=-RKQ
0037      A11=1.0D0/314.0D0*XAFD
0039      A12=1.0D0/314.0D0*XAKD
0040      A13=-1.0D0/314.0D0*XD
0041      A21=1.0D0/314.0D0*XFFD
0042      A22=1.0D0/314.0D0*XFKD
0043      A23=-1.0D0/314.0D0*XAFD
0044      A31=1.0D0/314.0D0*XFKD
0045      A32=1.0D0/314.0D0*XKKD
0046      A33=-1.0D0/314.0D0*XAKD

```



```

0047      AM=A11*A22*A33+A12*A23*A31+A13*A21*A32-A31*A22*A13-
1A32*A23*A11-A33*A21*A12
0048      C11=(A22*A33-A32*A23)/AM
0049      C12=(A32*A13-A12*A33)/AM
0050      C13=(A12*A23-A22*A13)/AM
0051      C21=(A31*A23-A21*A33)/AM
0052      C22=(A11*A33-A31*A13)/AM
0053      C23=(A21*A13-A11*A23)/AM
0054      C31=(A21*A32-A31*A22)/AM
0055      C32=(A31*A12-A11*A32)/AM
0056      C33=(A11*A22-A21*A12)/AM
0057      E11=1.0D0/314.0D0*XAFQ
0058      E12=1.0D0/314.0D0*XAKQ
0059      E13=-1.0D0/314.0D0*XQ
0060      E21=1.0D0/314.0D0*XFFQ
0061      E22=1.0D0/314.0D0*XFKQ
0062      E23=-1.0D0/314.0D0*XAFQ
0063      E31=1.0D0/314.0D0*XFKQ
0064      E32=1.0D0/314.0D0*XKKQ
0065      E33=-1.0D0/414.0D0*XAKQ
0066      EM=E11*E22*E33+E12*E23*E31+E13*E21*E32-
1E31*E22*E13-E32*E23*E11-E33*E21*E12
0067      D11=(E22*E33-E32*E23)/EM
0068      D12=(E32*E13-E12*E33)/EM
0069      D13=(E12*E23-E22*E13)/EM
0070      D21=(E31*E23-E21*E33)/EM
0071      D22=(E11*E33-E31*E13)/EM
0072      D23=(E21*E13-E11*E23)/EM
0073      D31=(E21*E32-E31*E22)/EM
0074      D32=(E31*E12-E11*E32)/EM
0075      D33=(E11*E22-E21*E12)/EM
0076      A(1,1)=C11*B21
0077      A(1,2)=C13*B32
0078      A(1,3)=C11*B13
0079      A(1,4)=C11*B14
0080      A(1,5)=C11*B15
0081      A(1,6)=C11*B16
0082      A(2,1)=C22*B21
0083      A(2,2)=C23*B32
0084      A(2,3)=C21*B13
0085      A(2,4)=C21*B14
0086      A(2,5)=C21*B15
0087      A(2,6)=C21*B16
0088      A(3,1)=C32*B21
0089      A(3,2)=C33*B32
0090      A(3,3)=C31*B13
0091      A(3,4)=C31*B14
0092      A(3,5)=C31*B15
0093      A(3,6)=C31*B16
0094      A(4,1)=D11*F41
0095      A(4,2)=D11*F42

```



```

0096      A(4,3)=D11*F43
0097      A(4,4)=D12*F54
0098      A(4,5)=D13*F65
0099      A(4,6)=D11*F46
0100      A(5,1)=D21*F41
0101      A(5,2)=D21*F42
0102      A(5,3)=D21*F43
0103      A(5,4)=D22*F54
0104      A(5,5)=D23*F65
0105      A(5,6)=D21*F46
0106      A(6,1)=D31*F41
0107      A(6,2)=D31*F42
0108      A(6,3)=D31*F43
0109      A(6,4)=D32*F54
0110      A(6,5)=D33*F65
0111      A(6,6)=D31*F46
0112      I=0
0113      CALL DRKGS (PRMT,Y,DERY,NDIM,IHLF,FCT,OUTP,AUX)
0114      IF(IHLF.GT.10) WRITE (6,66) IHLF
0115 66  FORMAT (1X,I3)
0116      STOP
0117      END

0001      SUBROUTINE FCT(X,Y,DERY)
0002      IMPLICIT REAL*8 (A-H,O-Z)
0003      DIMENSION DERY(8),Y(8),PRMT(5),AUX(8,8)
0004      COMMON RFD,XD,XE,XAFD,XAFQ,RE,R,XFFD,XFFQ,XQ,RFQ,XFKD,XFKQ,
1XKKD,XKKQ,V,XAKD,XAKQ,RKD,RKQ
0005      COMMON C11,C12,C13,C21,C22,C23,C31,C32,C33,D11,D12,D13,D21,D22,
1D23,D31,D33,X1,X2,X3,X4,DD,G,Z1,Z2,T,Z
0006      A(6,6),C(3,4)
0007      COMMON ZL,XEOLD,REOLD,ZLOLD,VOLD,I,II,J
0008      COMMON /AA/VFD,VFQ,ET,BN,E,S,Z3
0009      ED=RE*Y(3)-XE*Y(6)+ZL*DERY(3)+DSIN(Y8))*V
0010      EQ=RE*Y(6)+XE*Y(3)+ZL*DERY(6)+DCOS(Y8))*V
0011      ET=(ED**2+EQ**2)**0.5
0012      IF(X.LE.0.001D0) GO TO 100
0013      E=X1*Y(6)*A(1,1)*Y(1)+X1*Y(6)*A(1,2)*Y(2)+X1*Y(6)*A(1,3)*Y(3)+
1X1*Y(6)*A(1,4)*Y(4)+X1*Y(6)*A(1,5)*Y(5)+X1*Y(6)*A(1,6)*Y(6)+
1X1*Y(1)*A(6,1)*Y(1)+X1*Y(1)*A(6,2)*Y(2)+X1*Y(1)*A(6,3)*Y(3)+
1X1*Y(1)*A(6,4)*Y(4)+X1*Y(1)*A(6,5)*Y(5)+X1*Y(1)*A(6,6)*Y(6)+
1X2*Y(6)*A(2,1)*Y(1)+X2*Y(6)*A(2,2)*Y(2)+X2*Y(6)*A(2,3)*Y(3)+
1X2*Y(6)*A(2,4)*Y(4)+X2*Y(6)*A(2,5)*Y(5)+X2*Y(6)*A(2,6)*Y(6)+
1X2*Y(2)*A(6,1)*Y(1)+X2*Y(2)*A(6,2)*Y(2)+X2*Y(2)*A(6,3)*Y(3)+
1X2*Y(2)*A(6,4)*Y(4)+X2*Y(2)*A(6,5)*Y(5)+X2*Y(2)*A(6,6)*Y(6)+
1X3*Y(3)*A(4,1)*Y(1)+X3*Y(3)*A(4,2)*Y(2)+X3*Y(3)*A(4,3)*Y(3)+
1X3*Y(3)*A(4,4)*Y(4)+X3*Y(3)*A(4,5)*Y(5)+X3*Y(3)*A(4,6)*Y(6)+
1X3*Y(4)*A(3,1)*Y(1)+X3*Y(4)*A(3,2)*Y(2)+X3*Y(4)*A(3,3)*Y(3)+
1X3*Y(4)*A(3,4)*Y(4)+X3*Y(4)*A(3,5)*Y(5)+X3*Y(4)*A(3,6)*Y(6)+
1X4*Y(3)*A(5,1)*Y(1)+X4*Y(3)*A(5,2)*Y(2)+X4*Y(3)*A(5,3)*Y(3)+
1X4*Y(3)*A(5,4)*Y(4)+X4*Y(3)*A(5,5)*Y(5)+X4*Y(3)*A(5,6)*Y(6)+

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1X4*Y(5)*A(3,1)*Y(1)+X4*Y(5)*A(3,2)*Y(2)+X4*Y(5)*A(3,3)*Y(3)+
1X4*Y(5)*A(3,4)*Y(4)+X4*Y(5)*A(3,5)*Y(5)+X4*Y(5)*A(3,6)*Y(6)+
1(X1*Y(6)*C11+X2*Y(6)*C21+X3*Y(4)*C31+X4*Y(5)*C31)*V*DSIN(Y(8))+
1(X1*Y(1)*D31+X2*Y(2)*D31+X3*Y(3)*D11+X4*Y(3)*D21)*V*DCOS(Y(8))
0014 BK=(X1*Y(1)*D32+X2*Y(2)*D32+X3*Y(3)*D12+X4*Y(3)*D22)*RFQ/XAFQ
0015 B1=DSIGN(1.0D0,BK)
0016 BN=(XL*Y(6)*C12+X2*Y(6)*C22+X3*Y(4)*C32+X4*Y(5)*C32)*RFD/XAFD
0017 B2=DSIGN(1.0D0,BN)
0018 T1=-314.0D0/6.0D0*(E-5.0D0*B2*BN-5.0D0*B1*BK)
0019 T2=-314.0D0/6.0D0*(E+5.0D0*B2*BN+5.0D0*B1*BK)
0020 Z1=Y(8)-1.0D0/(G**2)*314.0D0*DERY(7)
0021 Z2=314.0D0*Y(7)+1.0D0/G*314.0D0*DERY(7)
0022 Z3=314.0D0*DERY(7)
0023 T=T1
0024 IF(Z2.GT.0.0) T=T2
0025 SS=-1.0D0/T*Z2*G**2
0026 IF(SS.GT.90.0D0) SS=90.0D0
0027 Z=G*Z3-T+T*DEXP(SS)
0028 IF(Z.GT.0.0) GO TO 71
0029 VFD=B2*5.0D0
0030 VFQ=B1*5.0D0
0031 GO TO 100
0032 71 VFD=-B2*5.0D0
0033 VFQ=-B1*5.0D0
0034 100 DERY(1)=A(1,1)*Y(1)+A(1,2)*Y(2)+A(1,3)*Y(3)+A(1,4)*Y(4)+
1A(1,4)*Y(4)*Y(7)+A(1,5)*Y(5)+A(1,5)*Y(5)*Y(7)+A(1,6)*Y(6)+
2A(1,6)*Y(6)*Y(7)+C11*V*DSIN(Y(8))+C12*RFD/XAFD*VFD
0035 DERY(2)=A(2,1)*Y(1)+A(2,2)*Y(2)+A(2,3)*Y(3)+A(2,4)*Y(4)+
1A(2,4)*Y(4)*Y(7)+A(2,5)*Y(5)*Y(7)+A(2,6)*Y(6)+A(2,5)*Y(5)+
2A(2,6)*Y(6)*Y(7)+C21*V*DSIN(Y(8))+C22*RFD/XAFD*VFD
0036 DERY(3)=A(3,1)*Y(1)+A(3,2)*Y(2)+A(3,3)*Y(3)+A(3,4)*Y(4)+
1A(3,4)*Y(4)*Y(7)+A(3,5)*Y(5)+A(3,5)*Y(5)*Y(7)+A(3,6)*Y(6)+
2A(3,6)*Y(6)*Y(7)+C31*V*DSIN(Y(8))+C32*RFD/XAFD*VFD
0037 DERY(4)=A(4,1)*Y(1)+A(4,1)*Y(1)*Y(7)+A(4,2)*Y(2)+
1A(4,2)*Y(2)*Y(7)+A(4,3)*Y(3)+A(4,3)*Y(3)*Y(7)+A(4,4)*Y(4)+
2A(4,5)*Y(5)+A(4,6)*Y(6)+D11*V*DCOS(Y(8))+D12*RFQ/XAFQ*BFQ
0038 DERY(5)=A(5,1)*Y(1)+A(5,1)*Y(1)*Y(7)+A(5,2)*Y(2)+
1A(5,2)*Y(2)*Y(7)+A(5,3)*Y(3)+A(5,3)*Y(3)*Y(7)+A(5,4)*Y(4)+
2A(5,5)*Y(5)+A(5,6)*Y(6)+D21*V*DCOS(Y(8))+D22*RFQ*VFQ/XAFQ
0039 DERY(6)=A(6,1)*Y(1)+A(6,1)*Y(1)*Y(7)+A(6,2)*Y(2)+
1A(6,2)*Y(2)*Y(7)+A(6,3)*Y(3)+A(6,3)*Y(3)*Y(7)+A(6,4)*Y(4)+
2A(6,5)*Y(5)+A(6,6)*Y(6)+D31*V*DCOS(Y(8))+D32*RFQ*VFQ/XAFQ
0040 IF(X.LT.0.06D0) GO TO 10
0041 DERY(7)=-1.0D0/6.0D0*(XAFD*Y(1)*Y(6)+XAKD*Y(2)*Y(6)-
1XAFQ*Y(4)*Y(3)-XAKQ8Y(5)*Y(3))-
21.0D0/6.0D0*DD*314.0D0*Y(7)+
31.0D0/6.0D0*1.0519999999999999*1.0D0
0042 GO TO 25
0043 DERY(7)=-1.0D0/6.0D0*(XAFD*Y(1)*Y(6)+XAKD*Y(2)*Y(6)-
1XAFQ*Y(4)*Y(3)-XAKQ*Y(5)*Y(3))-
21.0D0/6.0D0*DD*314.0D0*Y(7)+

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31.0D0/6.0D0*1.051999999999999D0*2.0D0
0044 25 DERY(8)=314.0D0*Y(7)
0045     RETURN
0046     END

0001     SUBROUTINE OUTP(X,Y,DERY,IHLF,NDIM,PRMT)
0002     IMPLICIT REAL*8 (A-H,O-Z)
0003     DIMENSION DERY(8),Y(8),PRMT(5),AUX(8,8)
0004     COMMON RFD,XD,XE,XAFD,XAFQ,RE,R,XFFD,XFFQ,XQ,RFQ,XFKD,XFKQ,
1XKKD,XKKQ,V,XAKD,XAKQ,RKD,RKQ,
0005     COMMON C11,C12,C13,C21,C22,C23,C31,C32,C33,D11,D12,D13,D21,D22,
1D23,D31,D32,D33,X1,X2,X3,X4,DD,G,Z1,Z2,T,Z
0006     COMMON A(6,6),C(3,4)
0007     COMMON ZL,XEOLD,REOLD,ZLOLD,VOLD,I,II,J
0008     COMMON/AA/VFD,VFQ,ET,BN,BK,E,S,Z3
0009     IF(I/4*4.EQ.I) WRITE(6,88)X,VFD,VFQ,Z,Y(1),Y(3),Y(4),ET,Y(7),
1DERY(7),Y(8)
0010 88  FORMAT(1X,1P10D11.3,1P1D13.5)
0011     I=I+1
0012     RETURN
0013     END

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